



# भारत का राजपत्र The Gazette of India

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No. 40] NEW DELHI, SATURDAY, OCTOBER 6—OCTOBER 12, 2012 (ASVINA 14, 1934)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके  
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

## भाग IV

## [PART IV]

[गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं]

[Advertisements and Notices issued by Private Individuals and Private Bodies]

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BY ORDER

Controller of Publication

## CHANGE OF NAME

I, hitherto known as DEVENDRA NAGPAL son of Sh. RAMDAS NAGPAL, Member of Parliament, residing at 253, Sector 50, Noida, Uttar Pradesh, have changed my name and shall hereafter be known as DEVENDER NAGPAL.

It is certified that I have complied with other legal requirements in this connection.

DEVENDRA NAGPAL

[Signature (in existing old name)]

I, hitherto known as SHIVANI daughter of Sh. SATPAL BANSAL, studies as MCA in the Manav Rachna Institute, residing at B-17, Vinoba Kunj, Sector-9, Rohini East, Delhi-85, have changed my name and shall hereafter be known as SHIVANI BANSAL.

It is certified that I have complied with other legal requirements in this connection.

SHIVANI

[Signature (in existing old name)]

I, DEEPAK KUMAR DARUKA son of Sh. CHHEDI LAL DARUKA, residing at E-709, Surya Darshan Apartment, Near Ashiwad Complex, City Light Road, Surat-395007, have changed the name of my minor son DARUKA NIKUNJ DEEPAK aged 17 years and he shall hereafter be known as NIKUNJ DARUKA.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK KUMAR DARUKA

(Signature of Guardian)

I, hitherto known as AMIT son of Sh. HIRA LAL, residing at A1/159-160, Sector-XI, Rohini, Delhi-110085, have changed my name and shall hereafter be known as AMIT RATHEE.

It is certified that I have complied with other legal requirements in this connection.

AMIT

[Signature (in existing old name)]

I, hitherto known as TUFANI RAI son of Sh. NITHURI RAI, employed as Works Assistant in the Office of General Manager Sales in MTNL Delhi, residing at B-83, Mandawali, Delhi-110092, have changed my name and shall hereafter be known as AJAY RAI.

It is certified that I have complied with other legal requirements in this connection.

TUFANI RAI

[Signature (in existing old name)]

I, hitherto known as PREMLATA daughter of Sh. RAJBIR SINGH, residing at 27, 3rd Floor, Gopal Nagar, Jail Road, New Delhi-18, have changed my name after getting married to Mr. VINOD KAUL and shall hereafter be known as RHEA RAGHAV KAUL.

It is certified that I have complied with other legal requirements in this connection.

PREMLATA

[Signature (in existing old name)]

I, hitherto known as ASHRANT BHARTIA son of Late AJAY SHANKER BHARTIA, aged about 22 yrs. a student at Sufflok University, Boston, USA, presently residing at A-1, Maharani Bagh, New Delhi, have changed my name and shall hereafter be known as ASHRANT SHANKER MODI.

It is certified that I have complied with other legal requirements in this connection.

ASHRANT BHARTIA

[Signature (in existing old name)]

I, hitherto known as TINKLE ARORA son of Sh. ASHOK KUMAR, residing at N-58, Sri Niwas Puri, New Delhi-110065, have changed my name and shall hereafter be known as TUSHAR ARORA.

It is certified that I have complied with other legal requirements in this connection.

TINKLE ARORA

[Signature (in existing old name)]

I, hitherto known as GINNI BHATIA daughter of Sh. NARAYAN DASS BHATIA, residing at I-2/37, Sector-16, Rohini, Delhi, have changed my name and shall hereafter be known as GARIMA

It is certified that I have complied with other legal requirements in this connection.

GINNI BHATIA

[Signature (in existing old name)]

I, hitherto known as SHAKILA GOSWAMI daughter of Sh. LAKHA RAM GOSAIN and wife of Shri MUNESHWAR PRASAD, a Housewife, residing at 218-C, LIG Flats, Pocket-E, GTB Enclave, Nand Nagari, Delhi-110093, have changed my name and shall hereafter be known as SUNITA PRASAD.

It is certified that I have complied with other legal requirements in this connection.

SHAKILA GOSWAMI

[Signature (in existing old name)]

I, hitherto known as MUKESH BALA SABHARWAL wife of Sh. KANWAL RAKESH SABHARWAL, a Housewife, residing at E-7, Greater Kailash Enclave-I, New Delhi-110048, have changed my name and shall hereafter be known as BALA SABHARWAL.

It is certified that I have complied with other legal requirements in this connection.

MUKESH BALA SABHARWAL

[Signature (in existing old name)]

I, hitherto known as YATIN NARANG son of Sh. RAJ KUMAR NARANG, a student, residing at J-3/47, Second Floor, Rajouri Garden, New Delhi-110027, have changed my name and shall hereafter be known as YOHAN NARANG

It is certified that I have complied with other legal requirements in this connection.

YATIN NARANG

[Signature (in existing old name)]

I, BHAGWAN SINGH son of Sh. AJAIB SINGH, self employed, residing at Village Bishangarh, Tehsil Nabha, District Patiala, Punjab, have changed the name of my minor son HARSHARANVEER SINGH aged 17+ years and he shall hereafter be known as HARSARANVIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

BHAGWAN SINGH

(Signature of Guardian)

I, hitherto known as SUNITA wife of Late SANJEEV KUMAR, residing at 13/5, Kalyan Puri, Delhi-110091, have changed my name and shall hereafter be known as BHAGESHWARE KUMARI.

It is certified that I have complied with other legal requirements in this connection.

SUNITA

[Signature (in existing old name)]

I, hitherto known as PRADEEP KUMAR son of Sh. PHARAS RAM BALUNI, employed as Secretary at AZB & Partners, residing at 391, St. No. 6, Kaushik Enclave, Burari Delhi-110084, have changed my name and shall hereafter be known as PRADEEP BALUNI.

It is certified that I have complied with other legal requirements in this connection.

PRADEEP KUMAR

[Signature (in existing old name)]

I, hitherto known as MANOJ KUMAR son of Sh. HARI SINGH, employed as Manager (Finance), BSES Yamuna Power Ltd., residing at BH-557C (East), Shalimar Bagh, Delhi-110088, have changed my name and shall hereafter be known as MANOJ RATHORE.

It is certified that I have complied with other legal requirements in this connection.

MANOJ KUMAR

[Signature (in existing old name)]

I, DEENDAYAL son of Late OM PRAKASH, employed as Senior Technical Assistant 'C' in the Ministry of Defence, LASTEC, Metcalfe House, Delhi-110054, residing at F-1537, Rampark Extension, Loni, Ghaziabad (U.P.), have changed the name of minor son KHUSHIRAM aged 06 years and he shall hereafter be known as AAKASH UNIYAL.

It is certified that I have complied with other legal requirements in this connection.

DEENDAYAL

(Signature of Guardian)

I, hitherto known as ABHINAV son of Sh. RANJIT SINGH, residing at AG-403, Ground Floor, Shalimar Bagh, Delhi-110088, have changed my name and shall hereafter be known as ABHINAV JAIN.

It is certified that I have complied with other legal requirements in this connection.

ABHINAV

[Signature (in existing old name)]

I, hitherto known as ANIL CHAUDHARY son of Late CHANDER VEER SINGH, residing at 169, Y-Block, New Roshan Pura, Najafgarh, New Delhi-110043, have changed my name and shall hereafter be known as ANEEL CHAUDHRY

It is certified that I have complied with other legal requirements in this connection.

ANIL CHAUDHARY

[Signature (in existing old name)]

I, Mr. PRASHANT NEGI son of Mr. CHANDER MOHAN NEGI, age 35 years, employed as Operations Manager with Cravings with Couture, L.L.C. the Dubai Mall, Lower Ground Floor, Unit 101, U.A.E., residing at C/o Brig. LALIT MALHOTRA, 621/25 Sardar Patel Marg, Officers Enclave, New Delhi-110058, have changed the name of my minor son ADEN NEGI aged 1 year and he shall hereafter be known as ARHAAN NEGI.

It is certified that I have complied with other legal requirements in this connection.

PRASHANT NEGI

(Signature of Guardian)

I, hitherto known as CESILIA SUNITA SINGH wife of Sh. C.M. KATYAL, residing at K-1/1476, Ashiyana Colony, Lucknow, have changed my name and shall hereafter be known as SUNITA KATYAL.

It is certified that I have complied with other legal requirements in this connection.

CESILIA SUNITA SINGH

[Signature (in existing old name)]

I, hitherto known as SWATI SHARMA daughter of Sh. JEET KUMAR, residing at D-285, Nehru Vihar near Timar Pur, Delhi-110054, have changed my name and shall hereafter be known as SUNITA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SWATI SHARMA

[Signature (in existing old name)]

I, VINITA GROVER wife of Sh. DEVENDER GROVER (after remarriage), residing at T-29/N-5A-1, Baljeet Nagar, New Delhi-110008, have changed the name of my minor son from SHIVA JHA age about 13 years and he shall hereafter be known as SHIVAM KUMAR GROVER S/o Shri DEVENDER GROVER.

It is certified that I have complied with other legal requirements in this connection.

VINITA GROVER  
[Signature of Guardian]

I, hitherto known as KULVINDER KAUR wife of Sh. TARKESHWAR NATH, residing at WZ-85 (K-213) Village Tihar, New Delhi-18, have changed my name and shall hereafter be known as SONALI BANGA.

It is certified that I have complied with other legal requirements in this connection.

KULVINDER KAUR  
[Signature (in existing old name)]

I, hitherto known as NAVAL KISHORE ANEJA son of Sh. SANJAY ANEJA, residing at 8/33, Tilak Nagar, New Delhi-110018, have changed my name and shall hereafter be known as DEVESH ANEJA.

It is certified that I have complied with other legal requirements in this connection.

NAVAL KISHORE ANEJA  
[Signature (in existing old name)]

I, hitherto known as LAL SINGH BISHT son of Sh. NATHU SINGH, employed as Delivery Man in the United Sprit Ltd., residing at 9 Jhuggi, Near Talkatora Garden, Park Lane, Delhi, have changed my name and shall hereafter be known as LAKSHMAN SINGH BISHT.

It is certified that I have complied with other legal requirements in this connection.

LAL SINGH BISHT  
[Signature (in existing old name)]

I, hitherto known as BIBHA KUMARI SINGH daughter of Sh. BHUBNESHWAR PRASAD SINGH, doing Private Service, residing at 1427, Outram Line, Kingsway Camp, Delhi-110009 have changed my name and shall hereafter be known as Dr. BIBHA KUMARI.

It is certified that I have complied with other legal requirements in this connection.

BIBHA KUMARI SINGH  
[Signature (in existing old name)]

I, hitherto known as AVINASH son of Sh. LAXMI NARAYAN, residing at G-118, Type-IV, Nanakpura, New Delhi-21, have changed my name and shall hereafter be known as AVINASH NARAYAN.

It is certified that I have complied with other legal requirements in this connection.

AVINASH  
[Signature (in existing old name)]

I, hitherto known as SURENDER LAL RATHOR son of Sh. MOHAN LAL, residing at A-1/3127, Block-A1, Bangali Colony, Gali No. 31, Sant Nagar, Burari, Delhi-110084, have changed my name and shall hereafter be known as SURINDER LAL.

It is certified that I have complied with other legal requirements in this connection.

SURENDER LAL RATHOR  
[Signature (in existing old name)]

I, hitherto known as SHAMMI ASARI PARAMESWARAN MADHU daughter of Sh. PARAMESWARAN MADHU, residing at 911, Sector-9, Vasundhara, Ghaziabad-201012 (U.P.), have changed my name and shall hereafter be known as SHAMMI PARAMESWARAN MADHU.

I am Hindu by birth and not changing my religion.

It is certified that I have complied with other legal requirements in this connection.

SHAMMI ASARI PARAMESWARAN MADHU  
[Signature (in existing old name)]

I, hitherto known as SAHIRAA BANU wife of Sh. PARAMESWARAN MADHU, residing at 911, Sector-9, Vasundhara, Ghaziabad-201012 (U.P.), have changed my name and shall hereafter be known as NISHA PARAMESWARAN MADHU.

I am Hindu by birth and not changing my religion.

It is certified that I have complied with other legal requirements in this connection.

SAHIRAA BANU  
[Signature (in existing old name)]

I, hitherto known as MADHU ANISH son of Sh. PARAMESWARAN MADHU, residing at 911, Sector-9, Vasundhara, Ghaziabad-201012 (UP), have changed my name and shall hereafter be known as ANISH PARAMESWARAN MADHU.

I am Hindu by birth and not changing my religion.

It is certified that I have complied with other legal requirements in this connection.

MADHU ANISH  
[Signature (in existing old name)]

I, hitherto known as SANJEEV KUMAR son of Sh. MAHESH CHAND, employed as staff car driver in the Rajya Sabha Secretariat, residing at D-14/222, Sector-8, Rohini, Delhi-110085, have changed my name and shall hereafter be known as SANJEEV KUMAR SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SANJEEV KUMAR  
[Signature (in existing old name)]

I, hitherto known as NARESH daughter of Sh. HARI KISHAN, a House wife, residing at C/o Sh. Manoj Kumar, 88-A, Village Patparganj, Mayur Vihar, Phase-I, Delhi-110091, have changed my name and shall hereafter be known as NAINA GOEL.

It is certified that I have complied with other legal requirements in this connection.

NARESH  
[Signature (in existing old name)]

I, hitherto known as SUMAN KUMAR son of Sh. SHARWAN KUMAR MANJHI, residing at 230/2, Ground Floor, Pitam Pura, Village near Chopal, Delhi-110034, have changed my name and shall hereafter be known as SUMAN KUMAR MANJHI.

It is certified that I have complied with other legal requirements in this connection.

SUMAN KUMAR  
[Signature (in existing old name)]

I, hitherto known as S. P. SAMBHOR son of Sh. RAM DAS, retired from Army as Colonel, residing at 9/401, Eastend Apartments, Mayur Vihar, Phase-I Extn., Delhi-110096, have changed my name and shall hereafter be known as SURINDER PAL.

It is certified that I have complied with other legal requirements in this connection.

S. P. SAMBHOR  
[Signature (in existing old name)]

I, hitherto known as SANJAY KUMAR son of Late SHYAM SUNDAR PRASAD SINHA, employed as an Airman (Warrant Officer) in Indian Air Force, residing at SMQ 200/4, 7th Camp, AF Stn. Jalahalli East, Bangalore-560014, have changed my name and shall hereafter be known as SANJAY KUMAR SINHA.

It is certified that I have complied with other legal requirements in this connection.

SANJAY KUMAR  
[Signature (in existing old name)]

I, hitherto known as GARIMA PANIKA daughter of Sh. MANMOHAN LAL DHAWAN, employed as Textile Designer (Self employed), residing at AN 51C, Shalimar Bagh, Delhi, have changed my name after divorce with Sh. MUKESH PANIKA and shall hereafter be known as GARIMA DHAWAN.

It is certified that I have complied with other legal requirements in this connection.

GARIMA PANIKA  
[Signature (in existing old name)]

I, TALAT MUMTAZ wife of Dr. SYED AZIZ ANWAR, employed as Teacher T.G.T. (S.Sc.) in the G.G.S.S., Burari, residing at 2357, Turkman Gate, Delhi-110006, have changed the name of my minor daughter MARIAM AZIZ aged 15 years and she shall hereafter be known as MARIAM MUMTAZ.

It is certified that I have complied with other legal requirements in this connection.

TALAT MUMTAZ  
[Signature of Guardian]

I, hitherto known as RAJENDRA son of Lt. RAM MURAT RAJBHAR, employed as Technician-III in the EMU Carshed/Howrah/Eastern Railway, residing at 2, K. B. M. Road, Fesuabagan, Champdani, P.O. Baidyabati, P.S. Bhadreswar, Distt. Hooghly (W.B.) Pin-712222, have changed my name and shall hereafter be known as RAJENDRA RAJBHAR.

It is certified that I have complied with other legal requirements in this connection.

RAJENDRA  
[Signature (in existing old name)]

I, hitherto known as GOPAL RAO son of Late D. APPALA SWAMY, employed as UG Trackman, under Sr. Section Engineer (P/Way), Kharida, Engineering Department, S. E. Rly., Kharagpur, P.O. Kharagpur, Distt. Paschim Medinipur (W.B.), residing at Qtr. No. 1/OS/3, Unit-4, Dhansingh Maidan, Old Settlement, P.O. Kharagpur (T), Distt. Paschim Medinipur (W.B.), have changed my name and shall hereafter be known as DANALA GOPAL RAO.

It is certified that I have complied with other legal requirements in this connection.

R.T. I. of GOPAL RAO

I, hitherto known as AROKIA MARY @ BELLA wife of Late T. LEWIS, employed as Helper Gr-I, Ticket No. 1005/C, under Asstt. Material Manager, (W&S), S. E. Rly. General Stores, Kharagpur, P.O. Kharagpur, Distt. Paschim Medinipur (W.B.), residing at C/o Sri Ram, Shankar Basti, Jholi, Ward No. 29, P.O. & P.S. Kharagpur (T), Distt. Paschim Medinipur (W.B.), have changed my name and shall hereafter be known as AROKIA MARRY ALIES BELLA.

It is certified that I have complied with other legal requirements in this connection.

L. T. I. of AROKIA MARY @ BELLA

I, hitherto known as PANKAJ KUMAR son of Lt. MOTI LAL SAHU, employed as Technician Gr-II, Ticket No. 46156, Wagon Tank Repair Shop No. 46, S. E. Rly. Wagon Workshop, at Kharagpur, under Chief Works Manager (Wagon) S. E. Rly., Kharagpur, PO Kharagpur, Distt. Paschim Medinipur (W.B.), residing at C/o N. R. Sahu, Gwalapara, Ward No. 28, House No. 539 (Old), Gaikata Mouza, Jhapatapur, PO + P.S. Kharagpur (T), Distt. Paschim Medinipore (WB), have changed my name and shall hereafter be known as PANKAJ KUMAR SAHU.

It is certified that I have complied with other legal requirements in this connection.

PANKAJ KUMAR  
[Signature (in existing old name)]

I, hitherto known as VIDYA VISHWANATH IYER wife of Sh. GOVINDA RAJAN RAGHUPATHY, residing at F-33, 2nd Floor (above SBI) Shopping Centre, Mansarovar Garden, New Delhi-110015, have changed my name and shall hereafter be known as VIDYA GOVINDA RAJAN.

It is certified that I have complied with other legal requirements in this connection.

VIDYA VISHWANATH IYER  
[Signature (in existing old name)]

I, hitherto known as SOMA BERA son of Sh. KALU BERA, employed as Sub-Inspector CISF, CISF Camp, IGI Airport, New Delhi, Ministry of Home Affairs, residing at Ram Mandir, Mosaboni No. 3, Qr. No. ARPS-7/B, P.O. & P.S. Mosaboni, Distt. East Singhbhum, Jharkhand-832104, have changed my name and shall hereafter be known as SOMAN KUMAR.

It is certified that I have complied with other legal requirements in this connection.

SOMA BERA  
[Signature (in existing old name)]

I, hitherto known as SRAJAN SURESH son of Sh. SURESH NN, residing at 502, Akash Towers, Urwa, Chilimbi, Mangalore-575006, Karnataka State, have changed my name and shall hereafter be known as SRAJAN SHETTY.

It is certified that I have complied with other legal requirements in this connection.

SRAJAN SURESH  
[Signature (in existing old name)]

I, hitherto known as CHAMUNDI. A wife of Sh. T. BABU, residing at Bhovi Colony, Puttappana Gudi Bidi, Ward No. 19, Devanahalli, Bangalore Rural District, have changed my name and shall hereafter be known as PADMA CHAMUNDI. A.

It is certified that I have complied with other legal requirements in this connection.

CHAMUNDI. A  
[Signature (in existing old name)]

I, hitherto known as ALICE JOHN wife of Sh. T. T. BABU, residing at Qtr. No. 4-N, CPWD Colony, Vasant Vihar, New Delhi, have changed my name and shall hereafter be known as ALICE BABU.

It is certified that I have complied with other legal requirements in this connection.

ALICE JOHN  
[Signature (in existing old name)]

I, hitherto known as VIJAY KUMAR son of Sh. HARBANS LAL SHARMA, employed as Sr. Section Officer in the office of FA&CAO/Diesel Loco Modernization Works, Patiala (Indian Railway), residing at 2392, Urban Estate, Ph-II, Patiala, have changed my name and shall hereafter be known as VIJAY KUMAR SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VIJAY KUMAR  
[Signature (in existing old name)]

I, hitherto known as JYOTI JAIN wife of Sh. UPHAR CHIBBER, self employed residing at 5-A, Pine Drive, DLF Farms Chhattarpur, New Delhi-110074, have changed my name and shall hereafter be known as JYOTI JAIN CHIBBER.

It is certified that I have complied with other legal requirements in this connection.

JYOTI JAIN  
[Signature (in existing old name)]

I, hitherto known as BHARAT BHUSHAN PANGOTRA son of Late RAM KRISHAN SHARMA, employed as Warrant Officer in the Air Force Sports Control Board C/o Air Force Station, New Delhi, Race Course, New Delhi-110003, residing at Q. No. 1/E/5, New Wellington Camp, Race Course, New Delhi-110003, have changed my name and shall hereafter be known as BHARAT BHUSHAN SHARMA.

It is certified that I have complied with other legal requirements in this connection.

BHARAT BHUSHAN PANGOTRA  
[Signature (in existing old name)]

I, hitherto known as ZEENAT SHAIKH wife of Commander MOHAMMED ASIF MAKANDAR, employed as Cockpit Crew with Jet Airways India Ltd., residing at 28/367 Arjan Vihar, Delhi Cantt, New Delhi-110010, have changed my name and shall hereafter be known as ZEENAT MAKANDAR.

It is certified that I have complied with other legal requirements in this connection.

ZEENAT SHAIKH  
[Signature (in existing old name)]

I, hitherto known as CHINNU GUPTA daughter of Sh. RAM KUMAR GUPTA, residing at D-361, 1st Floor, Gali No. 12, Laxmi Nagar, Delhi-110092, have changed my name and shall hereafter be known as ADITI GUPTA.

It is certified that I have complied with other legal requirements in this connection.

CHINNU GUPTA  
[Signature (in existing old name)]

I, hitherto known as DAMODARA son of Sh. MEENAYA POOJARY, employed as Private Service, residing at E-107, Sushant Lok 1, Gurgaon-122009, Haryana, have changed my name and shall hereafter be known as DAMODAR PUJARI.

It is certified that I have complied with other legal requirements in this connection.

DAMODARA  
[Signature (in existing old name)]

I, hitherto known as SONIKA SAINI daughter of Sh. SHER SINGH SAINI wife of Late PRADEEP SAINI, residing at R-61, Khirki Extension, New Delhi-110017, have changed my name and shall hereafter be known as RITU SAINI.

It is certified that I have complied with other legal requirements in this connection.

SONIKA SAINI  
[Signature (in existing old name)]

I, hitherto known as RENU BALA MAHAJAN wife of Sh. VINOD KUMAR MAHAJAN, employed as T.S.O., Dy. General Manager (Admn.) North, MTNL, Rohini, Sector-3, Delhi-85, residing at 13/125A, Dharam Pura, Bahadurgarh, Haryana-124507, have changed my name and shall hereafter be known as RENU MAHAJAN.

It is certified that I have complied with other legal requirements in this connection.

RENU BALA MAHAJAN  
[Signature (in existing old name)]

I, hitherto known as SANTOSH KUMAR son of Late SHIV CHARAN LAL, employed as Proprietor in the Aashish Photo Service, residing at B-40, Central Market, Madangir, New Delhi-110062, have changed my name and shall hereafter be known as SANTOSH KUMAR MAHESHWARI.

It is certified that I have complied with other legal requirements in this connection.

SANTOSH KUMAR  
[Signature (in existing old name)]

I, hitherto known as KARUN LATA wife of Sh. PARDEEP KUMAR PAL, a House Wife, residing at 117-A, Malik Pur, Model Town-1st, Delhi-110009, have changed my name and shall hereafter be known as KARUN LATA PAL.

It is certified that I have complied with other legal requirements in this connection.

KARUN LATA  
[Signature (in existing old name)]

I, hitherto known as PARDEEP KUMAR son of Sh. KANWAR SINGH, employed as Sr. Manager in the HCL Comnet System & Services Limited, residing at 117-A, Malik Pur Model Town-1st, Delhi-110009, have changed my name and shall hereafter be known as PARDEEP KUMAR PAL.

It is certified that I have complied with other legal requirements in this connection.

PARDEEP KUMAR  
[Signature (in existing old name)]

I, hitherto known as GURPREET BANWAIT wife of Sh. PRATHMESH MISHRA, a House Wife, residing at D-4, F.F. DLF-1, Gurgaon, Haryana, have changed my name and shall hereafter be known as PREETI MISHRA.

It is certified that I have complied with other legal requirements in this connection.

GURPREET BANWAIT  
[Signature (in existing old name)]

I, hitherto known as KARTIKA daughter of Sh. J. RADHARAMAN & VANDANA, residing at A-56, Southcity-1, Gurgaon (Haryana)-122007, have changed my name and shall hereafter be known as RIDDHIMA KARTIK.

It is certified that I have complied with other legal requirements in this connection.

KARTIKA  
[Signature (in existing old name)]

I, hitherto known as VANDANA wife of Sh. J. RADHARAMANA & VANDANA SARAWAT, daughter of Sh. VIRENDRA KUMAR, residing at A-56 Southcity-1, Gurgaon (HR)-122007, have changed my name and shall hereafter be known as JAIMINI VANDANA.

It is certified that I have complied with other legal requirements in this connection.

VANDANA  
[Signature (in existing old name)]

I, hitherto known as SHOMA CHAKRABORTY wife of Sh. SANTANU KUMAR CHOUDHURY, residing at 2B-123, GC Grand, Plot No. 2C, Indrapuram, Ghaziabad (U.P.), have changed my name and shall hereafter be known as SHOMA CHOUDHURY.

It is certified that I have complied with other legal requirements in this connection.

SHOMA CHAKRABORTY  
[Signature (in existing old name)]

I, hitherto known as Md. SA LAHUDDIN son of SYED NOORUDDIN AHMED, residing at J-3/35-A Khirki Extn. Malviya Nagar, Delhi-110017, have changed my name and shall hereafter be known as SYED FIROZ.

It is certified that I have complied with other legal requirements in this connection.

Md. SA LAHUDDIN  
[Signature (in existing old name)]

I, hitherto known as ANUPRIYA DHONDIYAL @ VANSI DHONDIYAL wife of Sh. SOMNATH BHATTACHARYA, residing at 5-A, Adhchini, Sri Aurobindo Marg, New Delhi-110017, have changed my name and shall hereafter be known as VANSI BHATTACHARYA.

It is certified that I have complied with other legal requirements in this connection.

ANUPRIYA DHONDIYAL @ VANSI DHONDIYAL  
[Signature (in existing old name)]

I, hitherto known as RAJESH KUMAR D SINGH alias RAJESH KUMAR SINGH DEVRAJ, son of Sh. DEVRAJ SINGH, employed as Executive, residing at Balvant Nagalia, Tehsil-Gabhana, District-Aligarh, (U.P.), have changed my name and shall hereafter be known as RAJESH KUMAR SINGH.

It is certified that I have complied with other legal requirements in this connection.

RAJESH KUMAR D SINGH  
[Signature (in existing old name)]

I, hitherto known as IGLAKH son of ISHTIYAK AHMAD, a Student in C.C.S. University, residing at H. No.-1002, Street No.-23, L-Block, Sangam Vihar, New Delhi-110062, have changed my name and shall hereafter be known as IKHLAK AHMAD.

It is certified that I have complied with other legal requirements in this connection.

IGLAKH  
[Signature (in existing old name)]

I, SANDEEP KUMAR son of Late RAJ KUMAR, Self employed/Business, residing at BQ-33, West Shalimar Bagh, Delhi, have changed the name of my minor daughter MANSI UJJAINWAL aged 15 years and she shall hereafter be known as MANSI SAINI.

It is certified that I have complied with other legal requirements in this connection.

SANDEEP KUMAR  
(Signature of Guardian)

I, hitherto known as SUNDEEP SHARMA son of Dr. SUNEEL SHARMA, employed as Professor in Horticulture Department, CCS HAU, Hisar (Haryana), residing at H. No. 10/85, New Campus, CCS HAU, Hisar (Haryana), have changed my name and shall hereafter be known as RUDRA DUTT SHARMA.

It is certified that I have complied with other legal requirements in this connection.

SUNDEEP SHARMA  
[Signature (in existing old name)]

I, hitherto known as SAMIKSHA KAVITA SAWHNEY daughter of KAVITA, residing at G-216, IInd Floor, Naraina Vihar, Delhi-110028, have changed my name and shall hereafter be known as SAMIKSHA.

It is certified that I have complied with other legal requirements in this connection.

SAMIKSHA KAVITA SAWHNEY  
[Signature (in existing old name)]



I, hitherto known as KUNAL son of Sh. KALENDRA RAM, as a Student, residing at C/o Kalendra Ram Royal City Saguna Road, No-4, Opposite Manglam Enclave Usha Kiran Road, Bailey Road, P.S. Danapur Patna-801503, have changed my name and shall hereafter be known as KUNAL KISHORE.

It is certified that I have complied with other legal requirements in this connection.

KUNAL  
[Signature (in existing old name)]

I, hitherto known as NAWAL KISHORE @ NAWAL KISHORE GAMBHIR @ NAVAL KISHORE GAMBHIR @ NAVAL GAMBHIR @ NAVAL KISHORE son of Sh. C. L. GAMBHIR, residing at Flat No. 8, Sharda Apartment, West Enclave, Pitampura, Delhi-110034, have changed my name and shall hereafter be known as NAWAL GAMBHIR.

It is certified that I have complied with other legal requirements in this connection.

NAWAL KISHORE  
[Signature (in existing old name)]

I, hitherto known as SHWETA KHANNA wife of Sh. GAGAN ARORA, residing at 43-A W. P. Block, Pitam Pura, Delhi-110088, have changed my name and shall hereafter be known as VIBHUTI ARORA.

It is certified that I have complied with other legal requirements in this connection.

SHWETA KHANNA  
[Signature (in existing old name)]

I, hitherto known as DEVPREET AMANJIT SINGH daughter of Late SARDAR MANMOHAN SINGH, employed as Director News All India Radio New Delhi, residing at H-43, Nivedita Kunj, N. Delhi-110022, have changed my name and shall hereafter be known as DEVPREET SINGH.

It is certified that I have complied with other legal requirements in this connection.

DEVPREET AMANJIT SINGH  
[Signature (in existing old name)]

I, SANJAY ARORA son of Sh. MAM ARORA, employed as a Senior Pilot in the Spice Jet, residing at F5/5, 2nd Floor, Model Town-II, Delhi-110009, have changed the name of my minor son KANAV ARORA aged 17 years and he shall hereafter be known as KABIR ARORA.

It is certified that I have complied with other legal requirements in this connection.

SANJAY ARORA  
(Signature of Guardian)

I, SANJAY ARORA son of Sh. MAM ARORA, employed as a Senior Pilot in the Spice Jet, residing at F5/5, 2nd Floor, Model Town-II, Delhi-110009, have changed the name of my minor son KSHITIZ ARORA aged 17 plus years and he shall hereafter be known as KSHITIJ ARORA.

It is certified that I have complied with other legal requirements in this connection.

SANJAY ARORA  
(Signature of Guardian)

I, hitherto known as KAPIL KUMAR son of Sh. CHANDRA BHAN SHARMA, residing at H. No.-257, Village & P.O. Naharpur, Rohini Sector-7, New Delhi-110085, have changed my name and shall hereafter be known as KAPIL BRIJWAASI.

It is certified that I have complied with other legal requirements in this connection.

KAPIL KUMAR  
[Signature (in existing old name)]

I, hitherto known as AAKASH son of Mr. VIJAY KUMAR BANSAL, as a student in the Hillwoods Academy, G-Block, Preet Vihar, Delhi-110092 residing at J-5/12, Ground Floor, Krishna Nagar, Delhi-110051, have changed my name and shall hereafter be known as AAKASH BANSAL.

It is certified that I have complied with other legal requirements in this connection.

AAKASH  
[Signature (in existing old name)]

I, hitherto known as PAWAN KUMAR son of Sh. SURENDRA KUMAR RASTOGI, employed as Finance Controller with RS Components & Controls (I) LTD, B-74, Sector 60, Noida-201301 (U.P.), residing at House No. 14, Sector 1, Chiranjeev Vihar, Ghaziabad, have changed my name and shall hereafter be known as PAWAN KUMAR RASTOGI.

It is certified that I have complied with other legal requirements in this connection.

PAWAN KUMAR  
[Signature (in existing old name)]

I, hitherto known as ASHA RANI wife of Sh. RATAN LAL, as a House wife, residing at B-213, Indra Nagar, Street No.-6, Adarsh Nagar, Delhi-110033, have changed my name and shall hereafter be known as SONIA KEWLANI.

It is certified that I have complied with other legal requirements in this connection.

ASHA RANI  
[Signature (in existing old name)]

I, hitherto known as ASHISH son of Mr. ASHOK KUMAR, employed/engaged as Student, residing at House No.-105, Tribune Colony, Baltana, M.C. Zirakpur, Tehsil Dera Bassi, Distt. S.A. S. Nagar (Punjab), have changed my name and shall hereafter be known as ASHISH BHATTI.

It is certified that I have complied with other legal requirements in this connection.

ASHISH  
[Signature (in existing old name)]

I, hitherto known as BHOLA NATH son of Sh. CHHOTE LAL, a Student of B. A. Final Year from Delhi University, residing at Block Q-1/1, M.S. Flats, Sector-13, R. K. Puram, New Delhi-110066, have changed my name and shall hereafter be known as AKASH VERMA.

It is certified that I have complied with other legal requirements in this connection.

BHOLA NATH  
[Signature (in existing old name)]

I, JITENDER PAL SINGH son of Sh. NIRMAL SINGH, employed as sales Manager in the KLI Freight Solutions Pvt. Ltd., residing at D-4/5, Ranjeet Vihar, Nilothi Extn., Nangloi, New Delhi-110043, have changed my minor son's name from INDER PAL SINGH POSLA aged 16 years and shall hereafter be known as INDER PAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

JITENDER PAL SINGH  
(Signature of Guardian)

I, hitherto known as RADHEY SHYAM son of Sh. INDERPAL, employed as Meter Erector Elect Dept., NDMC, residing at 36/318, Himmatpuri, Trilokpuri, Delhi-110091, have changed my name and shall hereafter be known as RADHEY SHYAM PANDEY.

It is certified that I have complied with other legal requirements in this connection.

RADHEY SHYAM  
[Signature (in existing old name)]

I, hitherto known as BINROTA wife of Sh. SURESH KUMAR, a house wife, residing at RZ B-2/106, Vijay Enclave, Gali No. 3, Shiv Main Market, Delhi-110045, have changed my name and shall hereafter be known as BEENA DEVI.

It is certified that I have complied with other legal requirements in this connection.

R. T. I. of Smt. BINROTA

I, hitherto known as NAVEEN son of Sh. CHAND RAM, residing at Vill. Sewli, Post Office Jakholi Teh & Distt. Sonipat, and Present. H. No. 172/15, Sonipat, have changed my name and shall hereafter be known as AARSH.

It is certified that I have complied with other legal requirements in this connection.

NAVEEN  
[Signature (in existing old name)]

I, hitherto known as SHIVAJEE SHAH son of Sh. BHUSHAN SHAH, employed as JIO-1, I.B. (M.H.A.) Govt. of India, New Delhi-110021, residing at Gandhi Nagar Colony, Distt-Jehanabad, Bihar-804408, have changed my name and shall hereafter be known as SHASHI KANT MURARI.

It is certified that I have complied with other legal requirements in this connection.

SHIVAJEE SHAH  
[Signature (in existing old name)]

I, hitherto known as A. VISHWAPRIYA daughter of Sh. V. ARUNACHALAM, residing at 71/5 Sector-1, Pushp Vihar, New Delhi-110017, have changed my name and shall hereafter be known as VRINDA. A

It is certified that I have complied with other legal requirements in this connection.

A. VISHWAPRIYA  
[Signature (in existing old name)]

I, PREETI JASSAL wife of Mr. PARSHOTAM JASSAL, employed as house working in the Erligher Str-16-70437, Stuttgart Germany, residing at Erligher Str-16, 70437, Stuttgart Germany, have changed the name of my minor son ACHIN @ SHUBHAM aged 14 years and he shall hereafter be known as YASHJEET.

It is certified that I have complied with other legal requirements in this connection.

PREETI JASSAL  
(Signature of Guardian)

I, hitherto known as AQEEL AHMAD son of ZAHEER AHMAD, a student, residing at H. No. 32A, Kavita Colony, Nangloi, Delhi-110041, have changed my name and shall hereafter be known as MOHD. AQEEL.

It is certified that I have complied with other legal requirements in this connection.

AQEEL AHMAD  
[Signature (in existing old name)]

I, NETAR PAL son of Sh. RAM CHANDER, a farmer, residing at 201, V. P. O. Nizampur, Delhi-110081, have changed

the name of my minor daughter YANKI aged 14 years and she shall hereafter be known as LAXMI.

It is certified that I have complied with other legal requirements in this connection.

NETAR PAL  
[Signature of Guardian]

I, hitherto known as RAJU RATTAN wife of Sh. RAJAN KAPUR, a Housewife, residing at B-6, Flat No. 103, B. R. apartments, Chander Nagar, Ghaziabad-201011 (U.P.), have changed my name and shall hereafter be known as RUPALI KAPUR.

It is certified that I have complied with other legal requirements in this connection.

RAJU RATTAN  
[Signature (in existing old name)]

I, hitherto known as AMAR alias AMAR CHAND son of Sh. MOOLCHAND BHATI, self-employed, residing at B-5/344, Sector-3, Rohini, New Delhi, have changed my name and shall hereafter be known as AMAR CHAND BHATI.

It is certified that I have complied with other legal requirements in this connection.

AMAR alias AMAR CHAND  
[Signature (in existing old name)]

I, hitherto known as SURAJ alias SURAJ CHAND son of Sh. MOOLCHAND BHATI, self-employed, residing at B-5/344, Sector-3, Rohini, New Delhi, have changed my name and shall hereafter be known as SURAJ CHAND BHATI.

It is certified that I have complied with other legal requirements in this connection.

SURAJ alias SURAJ CHAND  
[Signature (in existing old name)]

I, hitherto known as JYOTI MALHOTRA wife of Sh. KAMAL MALHOTRA, a Housewife, residing at C-58/2, GF, Gali No.-3, Mahindroo Enclave, Delhi-110033, have changed my name and shall hereafter be known as PALWINDER KAUR.

It is certified that I have complied with other legal requirements in this connection.

JYOTI MALHOTRA  
[Signature (in existing old name)]

I, hitherto known as ANNU wife of Sh. ANEEL CHAUDHRY, residing at 169, Y Block New Roshan Pura,

Najafgarh, New Delhi-110043, have changed my name and shall hereafter be known as ANNU A CHAUDHARY.

It is certified that I have complied with other legal requirements in this connection.

ANNU  
[Signature (in existing old name)]

I, hitherto known as SURESH KUMAR son of Sh. KHAZAN SINGH, employed as Doctor, CMO. Swami Dayanand Hospital, Shahdara, Delhi-110095, residing at F-37, 1st Floor Dilshad Colony, Delhi-110095, have changed my name and shall hereafter be known as SURESH CHAUDHARY.

It is certified that I have complied with other legal requirements in this connection.

SURESH KUMAR  
[Signature (in existing old name)]

I, hitherto known as SAKSHI KAUR RATTAN daughter of Sh. HARMINDER SINGH RATTAN, residing at V.B. 105/WZ-195/2, Varinder Nagar, Street No.-4, New Delhi-110058, have changed my name and shall hereafter be known as SAKSHI RATTAN.

It is certified that I have complied with other legal requirements in this connection.

SAKSHI KAUR RATTAN  
[Signature (in existing old name)]

I, NARESH YADAV son of Sh. OM PRAKASH YADAV, employed as Business in the Building Material, residing at Block-A, Dwarka, Sector-8, Village Bagdola, New Delhi-110077, have changed the name of my minor son CHANCHAL YADAV aged 17 years and he shall hereafter be known as SIDHARTH YADAV.

It is certified that I have complied with other legal requirements in this connection.

NARESH YADAV  
[Signature of Guardian]

I, hitherto known as DEEPTI ISHWARCHAND GUPTA daughter of Sh. ISHWARCHAND GUPTA wife of Sh. AMIT DHAMIJA, employed as Deputy Manager at Voith Hydro Ltd. - Noida, residing at E-20/272, Sector-3, Rohini, Delhi-110085, have changed my name and shall hereafter be known as DEEPTI GUPTA DHAMIJA.

It is certified that I have complied with other legal requirements in this connection.

DEEPTI ISHWARCHAND GUPTA  
[Signature (in existing old name)]

I, hitherto known as NANUA SINGH RAGHAV son of Sh. MOHAN SINGH RAGHAV, employed as SI/RO, C.R.P.F. (Now on deputation in I. B., M. H. A. Govt. of India), residing at Village-Hisara, P. O. Surajavali, Distt. Bulandshahar, (U. P.)-203131, have changed my name and shall hereafter be known as NARENDRA SINGH RAGHAV.

It is certified that I have complied with other legal requirements in this connection.

NANUA SINGH RAGHAV  
[Signature (in existing old name)]

I, hitherto known as SRI KALYAN BHATTACHARYYA son of Late GOPESH CHANDRA BHATTACHARJEE, employed as Assistant Audit Officer in the O/o Principal Accountant General (Audit), Assam, (Indian Audit & Accounts Department), residing at Care of Smti Narmada Deka, House No. 5, Krishnapur Chariali, Beltola, Guwahati-781028, Assam have changed my name and shall hereafter be known as SRI KALYAN BHATTACHARJEE.

It is certified that I have complied with other legal requirements in this connection.

KALYAN BHATTACHARYYA  
[Signature (in existing old name)]

I, hitherto known as CHANDER MOHANI wife of IC-6473 Colonel Y. P. DIWAN, a Housewife, residing at 156, Sainik Vihar, Delhi-110034, have changed my name and shall hereafter be known as MOHINI DIWAN.

It is certified that I have complied with other legal requirements in this connection.

CHANDER MOHANI  
[Signature (in existing old name)]

I, hitherto known as OM PARKASH son of Shri B. R. SHARMA employed as Constable, Ministry of Home Affairs/SSF, Government of India, New Delhi residing at 738, Sector-1, R. K. Puram, New Delhi have changed my name and shall hereafter be known as OM PARKASH SHARMA.

It is certified that I have complied with other legal requirements in this connection.

OM PARKASH SHARMA  
[Signature (in existing old name)]

I, hitherto known as VIKAS SHARMA son of Sh. J. M. SHARMA, residing at 2/29, Ramesh Nagar, Single Storey, New Delhi-110015, have changed my name and shall hereafter be known as KUNAL SHARMA.

It is certified that I have complied with other legal requirements in this connection.

VIKAS SHARMA  
[Signature (in existing old name)]

I, hitherto known as SAKHABRI DEVI wife of Sh. KUNWAR SINGH BISHT, a Housewife, residing at 33-B, Pocket A-1, Mayur Vihar, Phase-III, New Delhi-110096, have changed my name and shall hereafter be known as SAKHA DEVI.

It is certified that I have complied with other legal requirements in this connection.

SAKHABRI DEVI  
[Signature (in existing old name)]

I, hitherto known as HONEY KHATTAR son of OM PRAKASH, employed as Assistant Financial Controller at ELI Research India Pvt. Ltd., residing at 2741, First Floor Back, Block-A, Green Fields, Faridabad-121002, have changed my name and shall hereafter be known as ABHINAV KHATTAR.

It is certified that I have complied with other legal requirements in this connection.

HONEY KHATTAR  
[Signature (in existing old name)]

I, hitherto known as GUGGI son of Late PURAN, employed as Conservancy Safaiwala in the Station Headquarter Kaluchack, residing at 643, Digiana Pulli, Jammu, have changed my name and shall hereafter be known as RAMZAN MOHD.

It is certified that I have complied with other legal requirements in this connection.

R.T.I. of GUGGI

I, hitherto known as AARTI daughter of Mr. RAJ KUMAR, Self Employed in the Handicraft Items Trading, residing at 736, 1st Floor, West Guru Angad Nagar, Laxmi Nagar, Delhi-110092, have changed my name and shall hereafter be known as AQSA BEGUM.

It is certified that I have complied with other legal requirements in this connection.

AARTI  
[Signature (in existing old name)]

I, hitherto known as HEMA T KAUR wife of Sh. PRAVESH TALWAR, a Housewife, residing at L-48, First Floor, Kalkaji, New Delhi-110019, have changed my name and shall hereafter be known as ROMA TALWAR.

It is certified that I have complied with other legal requirements in this connection.

HEMA T KAUR  
[Signature (in existing old name)]

I, hitherto known as RAJVEER SINGH son of Sh. RAMKUWAR SINGH SHEKHAWAT, employed as Officer (Lieutenant) in the Records The Kumaon Regiment, Ranikhet, Pin-900473, C/o 56 APO, residing at 67/3, Alma Lines, Ranikhet, Distt.-Almora (UK), have changed my name and shall hereafter be known as RAJVEER SINGH SHEKHAWAT.

It is certified that I have complied with other legal requirements in this connection.

RAJVEER SINGH  
[Signature (in existing old name)]

I, hitherto known as YASHVANT son of Sh. RAMA PATIL, employed as Ty. Auditor A/c No. 8321708 in the Office of Accounts Office, Field Gun Factory, Kanpur, residing at Plot No. 3, Shankar Prasad Gruh Nirman Society, Near Hundai Showroom, Amravati Road, Nagpur, (Maharashtra State), have changed my name and shall hereafter be known as YASHVANT RAMA PATIL.

It is certified that I have complied with other legal requirements in this connection.

YASHVANT  
[Signature (in existing old name)]

I, hitherto known as KISLAY KUMAR son of Sh. SAMARENDRA KUMAR, employed as Engineer, residing at Brahmotra, P.O.-Pandal, Dist-Madhubani, Bihar, have changed my name and shall hereafter be known as KISLAY BHARDWAJ.

It is certified that I have complied with other legal requirements in this connection.

KISLAY KUMAR  
[Signature (in existing old name)]

I, hitherto known as SATISH KUMAR son of L. POKHAR DASS, residing at A-2/107-C, Keshav Puram, Delhi-110035, have changed my name and shall hereafter be known as SATISH ARORA.

It is certified that I have complied with other legal requirements in this connection.

SATISH KUMAR  
[Signature (in existing old name)]

I, hitherto known as ADITYA CHAUHAN son of Mr. SANDEEP KUMAR MASON alias SANDEEP KUMAR CHAUHAN, employed as Private Salaried Job currently, residing at JE-17B, Third Floor, Khirki Extension, Malviya Nagar, New Delhi-110017 and having permanent address as 90/85A, Ground Floor, Malviya Nagar, New Delhi-110017, have changed my name and shall hereafter be known as ADITYA KUMAR MASON.

It is certified that I have complied with other legal requirements in this connection.

ADITYA CHAUHAN  
[Signature (in existing old name)]

#### CHANGE OF NAME & RELIGION

I, hitherto known as BORRA HUSSAINAIAH GAVASKAR son of Sh. BORRA HUSSAINAIAH, residing at Door No. 11-93/5, New Buildings, Opp. Post Office, Chagalamarri-518553, Kurnool District, Andhra Pradesh, India, embraced Islam and I have changed my name and shall hereafter be known as MUHAMMAD HUSSAINAIAH BORRA.

It is certified that I have complied with other legal requirements in this connection.

BORRA HUSSAINAIAH GAVASKAR  
[Signature (in existing old name)]

#### PUBLIC NOTICE

It is for general information that I, NARESH KUMAR SATIJA son of Late TEJ BHAN SATIJA, residing at 141, Kalyan Vihar, Delhi-110009, declare that name of mine/my wife has been wrongly written as NARESH SATIJA and SHAMMI in the educational documents of my son PULKIT SATIJA. The actual name of mine/my wife is NARESH KUMAR SATIJA and SHAMMI SATIJA respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NARESH KUMAR SATIJA  
[Signature]

I, VIPAN KUMAR SHARMA son of Shri VED PRAKASH SHARMA, residing at C-62, Gali No. 3, Unche Par Mandawali, Fazal Pur, Delhi-110092, do hereby declare for general information that the name of mine has been wrongly written as VIPIN KUMAR SHARMA in educational documents of my son RISHABH SHARMA. The actual name of mine is VIPAN KUMAR SHARMA which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VIPAN KUMAR SHARMA  
[Signature]

I, ASHOK SINGH son of Shri KEDAR SINGH, residing at 241, Madan Pur Khadar, New Delhi-110076, do hereby declare that my name has wrongly been mentioned as ASHOK in Driving License and Batch Card. My true and correct name is ASHOK SINGH which may be rectified accordingly.

It is certified that I have complied with other legal requirements in this connection.

ASHOK SINGH  
[Signature]

It is for general information that I, JASWINDER BALJEET son of BALJIT SINGH, residing at J-10/47 Rojouri Garden, New Delhi-110027, do hereby declare that my name has been wrongly written as JASWINDER SINGH in the educational document of my son, HARTEJ SINGH, aged 16 years. My actual name is JASWINDER BALJEET, which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

JASWINDER BALJEET  
[Signature]

It is for general information that I, AJMAT ALI son of LIYAKAT HUSSAIN, residing at 19, Block-D, Sanjay Colony, Okhla Phase-II, New Delhi-110020, do hereby declare that the name of mine and my father has been wrongly written as AJMAT ALI KHAN and LIYAKAT ALI in my educational documents and in other documents. The actual name of mine and my father is AJMAT ALI and LIYAKAT HUSSAIN which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

AJMAT ALI  
[Signature]

It is for general information that I, PUNEET KUMAR son of Shri LAXMI PRASAD SAH, residing at H. No. 29 Stno-1 ph-5 Shiv Vihar Karawal Nagar, Delhi-94, declare that the name of my father has been wrongly written as LAXMI PRASAD GUPTA in my educational documents and in other documents. The actual name of my father is LAXMI PRASAD SAH which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

PUNEET KUMAR  
[Signature]

I, MEENA DEVI wife of Shri GAURI SHANKER, residing at 108, Model Town, Mandi Adampur, Distt.-Hisar (Haryana), do hereby declare for general information that my name has been wrongly written as MEENU GARG in the educational documents of my son PRINCE GARG aged 17 (seventeen) years in Matric Class DMC where his Roll No. is 2130702. The actual name of mine is MEENA DEVI which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MEENA DEVI  
[Signature]

I, NAZIA PARVEEN daughter of Mohd. TALIB, residing at H. No. 735, Janta Flats, GTB Enclave, Dilshad Garden, Delhi-110093, do hereby declare for general information that the name of mine has been wrongly written as PRAVEEN SINGH in my educational documents. The actual name of mine is NAZIA PARVEEN which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

NAZIA PARVEEN  
[Signature]

It is for general information that I, RAVI KUMAR son of Shri RANVIR SINGH/KRISHNA DEVI, residing at P.O. Legha Bhiwani do hereby declare that name of my father/my mother has been wrongly written as RANBIR SINGH/KRISHNA in my educational documents. The actual name of my Father/Mother is RANVIR SINGH/KRISHNA DEVI respectively which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

RAVI KUMAR  
[Signature]

It is for general information that I, DALVEER SINGH son of Shri MOHAN SINGH, residing at RZ-F-195, Nihal Vihar, Nangloi, New Delhi-110041, do hereby declare that name of my father has been wrongly written as MON SINGH in my educational documents. The actual name of my father is MOHAN SINGH which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DALVEER SINGH  
[Signature]

I, VEER BHAN son of Shri KESAR DASS, residing at H. No. 339/26, Jawahar Nagar, Rohtak do hereby declare for general information that the name of mine has been wrongly written as ANIL NARANG in the educational documents of my son ROHIT NARANG. The actual name of mine is VEER BHAN which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

VEER BHAN  
[Signature]

It is for general information that I, ROCKY son of Sh. SURESH KUMAR, residing at Garhi Bala, P.O. Bindroli, Teh. & Distt. Sonapat, do hereby declare that name of mine has been wrongly written as RAKESH in my educational documents. The actual name of mine is ROCKY which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

ROCKY  
[Signature]

I, MUKESH KUMAR GARG son of Sh. LAKHMI CHAND GARG, residing at 161, Akbar Pur Majra, Delhi-110036, do hereby declare for general information that my name has been wrongly written as MUKESH GARG in my minor daughter's educational documents. My actual name is MUKESH KUMAR GARG which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

MUKESH KUMAR GARG  
[Signature]

It is for general information that I, UMESH KUMAR MAHTO son of Sh. HARE RAM MAHTO, residing at B-282, Sharda Vats Enclave, Prem Nagar-III, Kirari Suleman Nagar, Delhi-86, declare that name of my Father & Mother has been wrongly written as HARE RAM MAHANT & AMARTI in my educational documents and in other documents. The actual name of my Father & Mother is HARE RAM MAHTO & AMARTI DEVI which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

UMESH KUMAR MAHTO  
[Signature]

I, SANTOSH wife of Lt. RAM KUMAR, residing at WZ-582, Madipur Village, Delhi, do hereby declare for general information that the name of my husband has been wrongly written as ROHTASH in the educational documents of my daughter PINKI aged 17 years. The actual name of my husband is Lt. RAM KUMAR which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SANTOSH  
[Signature]

It is for general information that I, HARNAM SINGH son of Sh. ACHHAR SINGH, residing at B-153, J.J. Colony, Madipur, New Delhi-110063, declare that my name has been wrongly written as HARNAAM SINGH in my son RAJESH'S School Certificate though my actual name is HARNAM SINGH which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

HARNAM SINGH  
[Signature]

I, OM PRAKASH GAUTAM son of Sh. SINGH PAL, residing at 167, Gali No. 4, Ph-4, Shiv Vihar, Delhi-110094, do hereby declare for general information that the name of mine has been wrongly written as PRAKASH GAUTAM in the educational documents of my son SIDDHARTH. The actual name of mine is OM PRAKASH GAUTAM which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

OM PRAKASH GAUTAM  
[Signature]

एस डेरिवेटिव्स एण्ड कमोडिटी एक्सचेंज लिमिटेड

नई दिल्ली

उप निदेशक, फॉरवर्ड मार्केट्स कमीशन, फॉरवर्ड कॉन्ट्रैक्ट (रेग्यूलेशन) एक्ट, 1952 (74 ऑफ 1952) जिसे भारत सरकार, वाणिज्य एवं उद्योग मंत्रालय की अधिसूचना संख्या एस ओ 11162 दिनांकित 4 मई, 1960 12 (1) के अंतर्गत 24 जुलाई, 2012 को निम्नलिखित संशोधन के लिये अनुमोदन प्राप्त कर लिया गया है और एस डेरिवेटिव्स एण्ड कमोडिटी एक्सचेंज लिमिटेड, अहमदाबाद के नियमावली में शामिल कर लिया गया है और उपरोक्त अधिनियम धारा 12 की उपधारा 2 के अंतर्गत अधिसूचना जारी की जा रही है।

संशोधन

14.12.1 उपर्युक्त उप नियमों में संदर्भित सभी दावे, मतभेद अथवा विवादों को सदस्य एवं उसके ग्राहक अथवा एक्सचेंज के दो सदस्यों के मध्य होने वाले अंतिम लेनदेन अथवा सुपुर्दगी अथवा भुगतान की आखिरी तारीख से तीन वर्ष के भीतर मध्यस्थता के लिये दिया जायेगा, बशर्ते कि एक्सचेंज की समाधान प्रक्रिया के अंतर्गत शिकायत प्राप्त होने के तीन महीने की अवधि में दावा/शिकायत का निस्तारण नहीं किया गया है अथवा सुलझाया नहीं गया है तो ऐसी स्थिति में एक्सचेंज संबंधित पक्ष को यह सलाह दी जायेगी, कि इस मामले को मध्यस्थता के लिये सौंप दिया जाये। एक्सचेंज के नियमों, उपनियमों एवं व्यवसायिक नियमों, के अनुसार, मतभेद सुलझाने अथवा सुलह प्रक्रिया, यदि कोई हो तो, जिसे आर्बिट्रेशन एण्ड कन्सिलिएशन एक्ट एवं उपनियमों के अनुसार संचालित किया गया है और उपयुक्त प्राधिकारी द्वारा विवाद को निपटाने में लगने वाले समय को तीन वर्ष के अवधिकाल में शामिल नहीं किया जायेगा। निर्धारित अवधिकाल के समाप्त हो जाने के पश्चात् यदि कोई शिकायत कर्ता/असंतुष्ट व्यक्ति मतभेद/दावा/विवाद प्रस्तुत करता है, तो इसे एक्सचेंज के नियमों, उपनियमों एवं व्यावसायिक नियमों के अंतर्गत उपयुक्त उपचार प्राप्त करने की दृष्टि से टाइम-बार्ड (काल वर्जित) मान लिया जायेगा और उपयुक्त सिविल न्यायालय के अंतर्गत उपयुक्त उपचार के लिये अबैध नहीं माना जायेगा।"

मुख्य कार्यकारी अधिकारी

स्थान : नई दिल्ली  
तिथि : 05.09.2012

## इंटर-कनेक्टेड स्टॉक एक्सचेंज आफ इंडिया लिमिटेड

## नवी मुंबई

प्रतिभूति संविदा (विनियमन) (स्टॉक एक्सचेंजों और क्लियरिंग निगमों) विनियम, 2012 दिनांक 20.06.2012 के अनुसार एक्सचेंज के उपनियमों में संशोधन और नए खंड का सम्मिलन

पूर्वोक्त विनियम, 2012 के अनुसार संशोधन से पहले और संशोधन के बाद के उपनियम नीचे के रूप में पेश कर रहे हैं:

उपविधियाँ	उपविधियाँ के संशोधन से पहले	उपविधियाँ के संशोधन से बाद
1.1	बोर्ड (मंडल), बोर्ड ऑफ डायरेक्टर्स (निदेशक मंडल) या द डायरेक्टर्स (निदेशक) का अर्थ है इंटरकनेक्टेड स्टॉक एक्सचेंज ऑफ इण्डिया (ISE) का निदेशक मण्डल	बोर्ड (मंडल), बोर्ड ऑफ डायरेक्टर्स (निदेशक मंडल), या द डायरेक्टर्स का अर्थ है इंटरकनेक्टेड स्टॉक एक्सचेंज ऑफ इण्डिया (आई.एस.ई.) का निदेशक मंडल। चारों शब्द अंतर्परिवर्तनीय रूप से प्रयुक्त होंगे।
1.1A	नए खंड का सम्मिलन	समाशोधन निगम (क्लीयरिंग कार्पोरेशन) का अर्थ वह निकाय है जिसकी स्थापना, प्रतिभूतियों या अन्य लिखतों या उत्पादों के व्यापार की समाशोधन और निपटान की गतिविधियों का दायित्व लेने हेतु की गई हो, जिनका लेन - देन या कारोंबार मान्य प्राप्त एक्सचेंज में हुआ हो और इसमें समाशोधन ग्रह शामिल हैं।
1.1B	नए खंड का सम्मिलन	समाशोधन सदस्य (क्लीयरिंग मेम्बर्स) से अमिप्राय उस व्यक्ति से है, जिसे किसी मान्यता प्राप्त समाशोधन गृह में समाशोधन व निपटान के अधिकार प्राप्त हों। बशर्त कि कोई भी व्यक्ति जो सिक्यूरिटीज कांटेक्ट्स (रेगुलेशन) (एक्सचेंज एण्ड क्लीयरिंग कार्पोरेशन) रेगुलेशन, 2012 दिनांक 20.06.2012 में लागू होने में दिनांक को समाशोधन गृह या समाशोधन निगम के समाशोधन सदस्य के बतौर कार्यरत हो, और जब तक कि उसका भारतीय प्रतिभूतियाँ और विनियम बोर्ड द्वारा पंजीयन का आवेदन यदि कोई हो अस्वीकृत न हो गया हो, अथवा समाशोधन निगम की उसकी सदस्यता समाप्त होने के दिनांक तक जो भी पहले हो।
1.1C	नए खंड का सम्मिलन	ग्राहक (क्लाएंट) या घटक (कांसट्टीयून्ट) का अर्थ वह व्यक्ति है जिसके निर्देश पर या जिसको और से व्यापारी सदस्य किसी व्यापार योग्य प्रतिभूतियों का क्रय या विक्रय करने हेतु करार करता है या उससे संबंधित कोई कार्य करता है।
1.1D	नए खंड का सम्मिलन	कम्पनी का अर्थ कंपनी अधिनियम 1956 की धारा 3 में परिभाषित कंपनी होगा, और जिसे इसके बाद इंटर कनेक्टेड (अंतर संबद्ध) स्टॉक एक्सचेंज ऑफ इण्डिया लिमिटेड (आई.एस.ई.) कहा जाएगा।
1.3	"एक्सचेंज" का अर्थ है स्टॉक एक्सचेंज जिनका संचालन ISE करता है।	एक्सचेंज का अर्थ है इंटर कनेक्टेड स्टॉक एक्सचेंज ऑफ इण्डिया लिमिटेड जिसे आई.एस.ई. भी कहा जाता है, जिसे वर्तमान में सिक्यूरिटीज एण्ड एक्सचेंज बोर्ड ऑफ इण्डिया द्वारा सिक्यूरिटीज कांटेक्ट्स (रेगुलेशन) एक्ट 1956 की धारा 4 के अंतर्गत मान्यता प्रदान की गई है। ये सभी शब्द अंतर्परिवर्तनीय रूप से प्रयुक्त होंगे।
1.5A	नए खंड का सम्मिलन	"की मैनेजमेंट पर्सोनेल" (महत्वपूर्ण प्रबंधन कार्मिक) का अर्थ है वह व्यक्ति जो किसी विभागाध्यक्ष के रूप में कार्य कर रहा हो या ऐसे वरिष्ठ कार्यपालिक पद पर हो, जो पदानुक्रम में एक्सचेंज में विभाग (गो) के अध्यक्ष (क्षों) से उच्च पदानुक्रम में हो अथवा अन्य किसी पद पर जिसे एक्सचेंज ने ऐसा घोषित किया हो।
1.6	"मार्केट मेकर" का अर्थ है ISE का व्यापारी सदस्य।	मार्केट मेकर का मतलब वह व्यापारी सदस्य है जिसका आई.एस.ई. से इस प्रकार से पंजीकरण हुआ है कि वह निर्दिष्ट प्रतिभूति अथवा प्रतिभूतियों में मार्केट मेकिंग क्रियाएं करें। (स्पष्टीकरण: कंपनी के भूति एक से अधिक श्रेणी में मार्केट मेकर पंजीकृत हो सकते हैं, जैसा कि मंडल समय-समय पर निर्धारित करे।
1.16	"ट्रेडिंग मेंबर" (व्यापारी सदस्य) का सदस्य शेयर दलाल	"व्यापारी सदस्य" से अमिप्राय वह व्यक्ति है जिसे आई.एस.ई. में व्यापार करने का अधिकार प्राप्त हो, और उसमें शेयर दलाल भी



	और ISE का सदस्य है जिसे अध्याय 6 की उपविधियों के तहत पंजीकृत किया गया हो।	शामिल हैं।
10.7.7	सदस्य के लिये व्यापारों के प्रकारों तथा सुरक्षा का निश्चयन।	व्यापारी सदस्य के लिये अनुमति प्राप्त व्यापार के प्रकारों एवं सुरक्षा का निश्चयन।
12.21	नए खंड का सम्मिलन	<p><b>जोखिम प्रबंधन समिति :-</b></p> <ol style="list-style-type: none"> <li>1. एक्सचेंज जोखिम प्रबंधन समिति का गठन करेगा जिसमें जन हित निदेशक एवं स्वतंत्र बाहरी विशेषज्ञ होंगे, जो प्रशासन मंडल को रिपोर्ट करेगी।</li> <li>2. जोखिम प्रबंधन समिति, विस्तृत जोखिम प्रबंधन नीति निर्धारित करेगी, जो प्रशासकीय मंडल द्वारा अनुमोदित की जाएगी।</li> <li>3. जोखिम प्रबंधन विभाग का अध्यक्ष, जोखिम प्रबंधन नीति को क्रियान्वित करने हेतु उत्तरदायी रहेगा, और वह जोखिम प्रबंधन समिति तथा एक्सचेंज के प्रबंध निदेशक को रिपोर्ट करेगा।</li> <li>4. जोखिम प्रबंधन समिति, जोखिम प्रबंधन नीति में क्रियान्वयन पर निगरानी रखेगी और सेबी तथा प्रशासकीय मंडल को क्रियान्वयन की और उसमें यदि कोई विचलन हो, तो उसकी सूचना देगी।</li> </ol>
16.4.2	दलाली के बंटवारे के लिये किसी भी व्यक्ति के साथ जैसी भी व्यवस्था हो, पर व्यापारी सदस्य प्रत्यक्ष और पूर्ण रूप से हर अन्य सदस्य के प्रति जवाबदार होगा, जिनके साथ व्यापारी सदस्य एक्सचेंज पर कोई लेन-देन करता है।	किसी भी व्यक्ति के साथ दलाली के बंटवारे को लेकर चाहे जैसी व्यवस्था हो, किंतु व्यापारी सदस्य उन सभी अन्य व्यापारी सदस्यों के प्रति प्रत्यक्षतः एवं पूर्णतः जवाबदार रहेगा, जिनके साथ ऐसी व्यापारी सदस्य एक्सचेंज में कोई सौदा करता है।
17.17.1.3	व्यापारी सदस्य ग्राहकों को या तो भुगतान करेगा या खरीदी गई प्रतिभूतियाँ पे-आउट के दो कार्य दिवसों के अंदर डिलीवर करेगा, बशर्ते कि ग्राहक ने अन्यथा याचना की हो।	व्यापारी सदस्य ग्राहकों को या तो भुगतान करेगा या खरीदी गई प्रतिभूतियाँ पे-आउट के एक कार्य दिवस के अंदर डिलीवर करेगा, बशर्ते कि ग्राहकों ने अन्यथा याचना की हो।
18.14 A	नए खंड का सम्मिलन	<p><b>अपीलीय व्यवस्था :-</b></p> <ol style="list-style-type: none"> <li>1. समय सीमा : मध्यस्थों के निर्णय के विरुद्ध अपील, निर्णय प्राप्त होने की तिथि से 30 दिवसों में अंतर दर्ज (फाइल) करनी होगी। किंतु यदि कोई पक्ष, निर्दिष्ट अवधि के अंदर, अपील नहीं कर पाता है तो, अपील में आवेदन के साथ शपथपत्र संलग्न करना होना, उन तथ्यों का खुलासा करते हुए, जिनके आधार पर अपीलार्थी, अपील पीठ को संतुष्ट कर सके कि किन कारणों से अपील दर्ज करने में विलंब हुआ। अपीलीय खंडपीठ, यदि महसूस करती है कि विलंब के कारण पर्याप्त हैं तो वह विलंब को माफ कर सकती है।</li> <li>2. जमा : निर्णय के विरुद्ध अपील करने वाले पक्ष को एक्सचेंज में, निर्णय में बतलाई गई राशि या प्रतिभूतियाँ (या उनके बराबर मूल्य) जमा करना होगा, यदि फैसला उसके विरुद्ध हुआ हो। यह राशि इस समझ के साथ जमा की जाएगी कि, वह उस पक्ष को दे दी जाएगी जिसके हक में अपील का निर्णय होगा, बशर्ते कि उसे न्यायालय में आर्बिट्रेशन एंड कन्सीलिएशन अधिनियम के प्रावधानों के अन्तर्गत चुनौती न दी जाए।</li> <li>3. अपील मेमो (ज्ञापन): मध्यस्थ (थो) के निर्णय के विरुद्ध अपील करने वाले पक्ष को अपील ज्ञापन के सात सेट प्रस्तुत करने पड़ेंगे।</li> <li>4. अपील खंडपीठ : निर्णय के विरुद्ध दर्ज की गई अपील की सुनवाई और उसका फैसला तीन मध्यस्थों की खंडपीठ करेगी, जिसकी नियुक्ति के प्रबंध निदेशक तथा मुख्य कार्यपालिक अधिकारी द्वारा की जाएगी। अपीलीय पैनल में तीन मध्यस्थ, इन मध्यस्थों से भिन्न होंगे, जिन्होंने पहले मध्यस्थ निर्णय दिया था, जिसके खिलाफ अपील की गई है। एक्सचेंज यह सुनिश्चित करेगा कि अपीलीय पैनल के मध्यस्थों की नियुक्ति की प्रक्रिया अपीलीय</li> </ol>

		<p>मध्यस्थों हेतु आवेदन की प्राप्ति के 30 दिवसों के अंदर पूरी कर ली जाए।</p> <p>अपील पीठ, अपील दाखिल होने के तीन महीनों के अंदर प्रकरण की सुनवाई करके निर्णय देने का यथासंभव प्रसास करती है। एक्सचेंज का प्रबंध निदेशक/कार्यपालिक निदेशक, अपीलीय मध्यस्थ निर्णय की अवधि, प्रकरण से प्रकरण के आधार पर अधिकाधिक दो महीने के लिये बढ़ा सकता है और ऐसा करने के करणों को वह दर्ज करेगा।</p> <p>अपीलीय मध्यस्थ निर्णय के पीड़ित पक्ष उस न्यायालय में आर्बिट्रेशन एंड कंसीलिएशन एक्ट 1996 की कंडिका 34 के तहत, आवेदन कर सकता है जिसे क्षेत्राधिकार हो।</p>
18.14 B	नए खंड का सम्मिलन	<p>ग्राहकों के पक्ष में हुए मध्यस्थ निर्णय का लागूकरण :-</p> <p>उस दशा में जब मध्यस्थ अपीलीय निर्णय ग्राहक के पक्ष में हो, तब एक्सचेंज, उसके प्राप्त होने पर, निर्णय की राशि उस सदस्य की सुरक्षा जमा या अन्य किसी धन से डेबिट करके (जिसके विरुद्ध निर्णय हुआ हो), उसे पृथक विलंब खाते में रखेगा।</p> <p>एक्सचेंज मध्यस्थ निर्णय को लागू करते हुए ग्राहक को राशि का भुगतान, उस पर अर्जित ब्याज सहित, तब कर देगा जैसे ही अपीलीय पैनल के मध्यस्थों के समक्ष अपील करने की अवधि समाप्त हो जाएगी और यदि कोई अपील न की जाए।</p> <p>एक्सचेंज ग्राहक को भुगतान करते हुए अपीलीय फैसले को लागू करेगा, उस पृथक रखी गई राशि पर अर्जित ब्याज सहित, जैसे ही;</p> <p>a) आर्बिट्रेशन एंड कंसीलिएशन एक्ट 1996 की धारा 34 के तहत ऐसे अपीलीय निर्णय को निरस्त करने की न्यायालय में आवेदन प्रस्तुत करने की अवधि निकल जाए, और कोई आवेदन पेश न किया जाए, या</p> <p>b) जब आर्बिट्रेशन एंड कंसीलिएशन अधिनियम 1996 की धारा 34 के तहत ऐसे अपीलीय निर्णय को निरस्त करने का आवेदन न्यायालय में किया जाए, परंतु वह न्यायालय द्वारा स्वीकार न किया जाए या</p> <p>c) जब आर्बिट्रेशन एंड कंसीलिएशन एक्ट की धारा 34 के तहत, मध्यस्थ निर्णय को रद्द करने का आवेदन किया जाए, परंतु न्यायालय द्वारा पक्ष के आवेदन करने की तिथि से तीन माह के अंदर स्थगन आदेश न मिले।</p>
18.14.1	इन उपविधियों में वर्णित के बावजूद, उन मामलों में जहाँ विवाचन निर्णय व्यापारी सदस्य के विरुद्ध और/या उसके उप-दलाल के विरुद्ध और घटक के पक्ष में, तो एक्सचेंज व्यापारी सदस्य के एक्सचेंज में जमा डिपॉजिट व अन्य रकम को घटक के खाते में देय ब्याज सहित, यदि हो, उस दिन तक के लिये डेबिट कर देगा, व्यापारी सदस्य और/या उसके उपदलाल के प्रतिदावे को, यदि हो, सेट ऑफ करके और उक्त राशि को अलग खाते में डाल देगा, जिसका निपटारा उपविधि 18.14.2 में नीचे दर्शाए अनुसार होगा, बशर्त लेकिन यदि फैसला प्रतिभूतियों की डिलेवरी के लिये है, तो एक्सचेंज ऐसी प्रतिभूतियों क्लोजिंग कीमत एक्सचेंज पर सोच सकता है जो फैसले के तारीख के दिन थी या अन्त तारीख जिसे संगत अधिकारी उचित निर्दिष्ट करे, ऐसी प्रतिभूतियों का मूल्य तथा निर्णय मूल्य किन कारणों के आधार पर तय किया गया, यह बतलाते हुए।	विलोपित
18.14.2	एक्सचेंज उक्त राशि का भुगतान अवाई को कर सकता है, जो पृथक खाते में रखा गया था - परन्तु सदस्य से पुष्टिकरण मिलने के बाद कि उसके द्वारा कोई अपील नहीं की गई है और	विलोपित

	जहाँ निर्णय आंशिक या पूर्ण रूप से अस्वीकार कर दिया गया हो तो एक्सचेंज डेबिट को उस सीमा तक उलटकर राशि व्यापारी सदस्य के खाते में क्रेडिट कर सकता है, और निर्णय की घंटी राशि का भुगतान अवार्डी को कर सकता है।	
18.19.6	स्टॉक एक्सचेंज की निवेशक सेवा समिति द्वारा लगने वाला समय (विवाद दाखिल होने के दिनांक से समिति द्वारा निर्णय लेने तक) उसके नियमों/उपविधियों/विनियमों के अंतर्गत विवाद के निपटारे हेतु, और सदस्य द्वारा विवाद के निपटारे की कोशिश में लिया गया समय (सदस्य द्वारा विवाद प्राप्त होने के दिनांक से ग्राहक द्वारा सदस्य के अंतिम संवाद प्राप्त होने तक) या सदस्य द्वारा विवाद प्राप्ति के एक माह से, जो भी पहले हो, को उस तिमाही के अंत से जिसके दौरान विवादग्रस्त सौदा(दे) निष्पादित हुए/निपटाए गए, जो भी विवाद हेतु प्रासंगिक हो, को छः माह की अवधि के अभिकलन से अलग रखा जायेगा।	स्टॉक एक्सचेंज की निवेशक सेवा समिति द्वारा लगने वाला समय (विवाद दाखिल होने के दिनांक से समिति द्वारा निर्णय लेने तक) उसके नियमों/उपविधियों/विनियमों के अंतर्गत विवाद के निपटारे हेतु, और व्यापारी सदस्य द्वारा विवाद के निपटारे की कोशिश में लिया गया समय (सदस्य द्वारा विवाद प्राप्त होने के दिनांक से ग्राहक द्वारा व्यापारी के अंतिम संवाद प्राप्त होने तक) या व्यापारी सदस्य द्वारा विवाद प्राप्ति के एक माह से, जो भी पहले हो, को उस तिमाही के अंत से जिसके दौरान विवादग्रस्त सौदा (दे) निष्पादित हुए/निपटाए गए, जो भी विवाद हेतु प्रासंगिक हो, को छः माह की अवधि के अभिकलन से अलग रखा जायेगा।
19.1 (i)	इस उपविधि की जो भी व्यवस्था हो उसके बावजूद व्यापारी सदस्य की व्यापार करने की सुविधा, अन्य एक्सचेंज से उसे निष्कासित किये जाने या चूककर्ता घोषित किये जाने की सूचना मिलते ही या सेबी द्वारा उसका पंजीयन प्रमाण-पत्र रद्द होते ही समाप्त कर दी जाएगी।	इस उपविधि की जो भी व्यवस्था हो उसके बावजूद व्यापारी सदस्य की व्यापार करने की सुविधा, अन्य एक्सचेंज से उसे निष्कासित किये जाने या चूककर्ता घोषित किये जाने की सूचना मिलते ही या सेबी द्वारा उसका पंजीयन प्रमाण-पत्र रद्द होते ही समाप्त कर दी जाएगी।
19.18	<b>गुमराह करने वाले ब्यौरे :-</b> संगत अधिकारी ऐसे व्यापारी सदस्य पर जुर्माना लगा सकता, उसे निलंबित या निष्कासित कर सकता है, यदि उसे संतोष हो जाए कि चूककर्ता से संबंधित जो तुलनात्मक विवरण या प्रमाणपत्र उसने भेजा है वह झूठा और गुमराह करने वाला है।	<b>गुमराह करने वाले ब्यौरे :-</b> संगत अधिकारी ऐसे व्यापारी सदस्य पर जुर्माना लगा सकता, उसे निलंबित या निष्कासित कर सकता है, यदि उसे संतोष हो जाए कि चूककर्ता से संबंधित जो तुलनात्मक विवरण या प्रमाणपत्र उसने भेजा है वह झूठा और गुमराह करने वाला है।
19.29.2	बोर्ड प्रतिवर्ष पाँच सदस्यीय चूक समिति का गठन करेगा, जिनमें से एक से अधिक व्यापारी सदस्य नहीं होगा और शेष सदस्य जनता में से न्यायिक, विधिक व वाणिज्यिक क्षेत्र के प्रख्यात लोग होंगे जो स्टॉक एक्सचेंज के दलाल नहीं होंगे। इनके अलावा प्रबंध निदेशक इस समिति का पदेन सदस्य होगा।	बोर्ड प्रतिवर्ष पाँच सदस्यों चूक समिति का गठन करेगा और वे सदस्य जनता में से होंगे जो विधिक, न्यायिक और लेखा क्षेत्र के जाने माने लोग होंगे और किसी भी स्टॉक एक्सचेंज के दलाल नहीं होंगे। इसके अलावा प्रबंध निदेशक इस समिति का पदेन सदस्य होगा।
20.1	ISE एक सेटलमेंट गारंटी फंड (निपटारा खात्री निधि) (SGF) रखेगी जिसका उद्देश्य सभी निपटान दायित्वों के लिये व्यापारिक खात्री सुनिश्चित करना होगा। SGF का मकसद यह सुनिश्चित करना है कि काउन्टर पार्टी रिस्क (प्रतिपक्ष जोखिम), क्रियान्वित व्यापार में जो योग्य सौदों से उत्पन्न हुए हों की खात्री SGF दे। इस तरह व्यापारी सदस्य की असफलता उसकी काउन्टर पार्टी को निपटान में कोई हानि नहीं होने देगी बशर्त कि लेन-देन, सिस्टम के अंदर वैध तरीकों से निष्पादित हुआ है और ISE के नियमों, उपविधियों एवं विनियमों के अधीन हुआ हो। SGF के समस्त स्त्रोत, प्रभार वितरण तथा अन्य विनियम समय-समय पर संगत अधिकारी द्वारा तय किये जायेंगे तथा व्यापारी सदस्य, ग्राहक आदि पर बाध्यकारक रहेंगे। SGF उन चूकों का दायित्व नहीं लेगा जो अवैध व्यापार, अनधिकृत व्यापार, बैड डिलेवरी (दोषयुक्त शेयर सौंपना), सर्कुलर ट्रेडिंग, परक्राम्य लेन-देन, क्रॉसड डील्स, इनसाइडर ट्रेडिंग से सृजित हुई हों और उन्हें ऐसे नियमों व शर्तों के अधीन होना चाहिये जो संगत अधिकारी समय-समय पर लागू करें।	आई.एस.ई एक सेटलमेंट गारंटी फंड (निपटारा खात्री निधि) (SGF) रखेगी जिसका उद्देश्य प्रत्येक प्रखंड में सभी निपटान दायित्वों के लिये व्यापारिक खात्री सुनिश्चित करना होगा। SGF का मकसद या सुनिश्चित करना है कि काउन्टर पार्टी रिस्क (प्रतिपक्ष जोखिम), क्रियान्वित व्यापार में जो योग्य सौदों से उत्पन्न हुए हों की खात्री SGF दें। इस तरह व्यापारी सदस्य की असफलता उसकी काउन्टर पार्टी को निपटान में कोई हानि नहीं होने देगी बशर्त कि लेन-देन, सिस्टम के अंदर वैध तरीकों से निष्पादित हुआ है और आई.एस.ई के नियमों, उपविधियों एवं विनियमों के अधीन हुआ हो। SGF के समस्त प्रभार वितरण तथा अन्य विनियम समय-समय पर संगत अधिकारी द्वारा तय किये जायेंगे तथा व्यापारी सदस्य, ग्राहक आदि पर बाध्यकारक रहेंगे। SGF उन चूकों का दायित्व नहीं लेगा जो अवैध व्यापार, अनधिकृत व्यापार, बैड डिलेवरी (दोषयुक्त शेयर सौंपना), सर्कुलर ट्रेडिंग, परक्राम्य लेन-देन, क्रॉसड डील्स, इनसाइडर ट्रेडिंग से सृजित हुई हों और उन्हें ऐसे नियमों व शर्तों के अधीन होना चाहिये जो संगत अधिकारी समय-समय पर लागू करें।

	समय-समय पर लागू करे।	
20.55	नए खंड का सम्मिलन	<p>अन्य देनदारियाँ :-</p> <p>i) एक्सचेंज की निपटान गारंटी निधि, समाशोधन निगम को हस्तांतरित कर दी जाएगी, जिसे एक्सचेंज के समाशोधन व निपटान कार्य हस्तांतरित किये गए हैं।</p> <p>ii) धारा 20.55 (i) के अन्तर्गत जब तक राशि का हस्तांतरण नहीं हो जाता, तब तक उसका उपयोग आई.एस.ई. के व्यापारी सदस्य/समाशोधन सदस्य के प्रति दायित्वों के निर्वहन के लिये ही किया जाएगा, उन कारोबारों हेतु जो आई.एस.ई. में ट्रेडिंग मंच पर निष्पादित हुए हैं, या जैसा सेबी निर्दिष्ट करें और जो एक्सचेंज की उपविधियों के अनुरूप हुए हों।</p> <p>iii) यदि समाशोधन सदस्य अपनी निपटान वचन बद्धताओं का सम्मान नहीं करता है, तो निधि का उपयोग निपटान की पूर्ति हेतु किया जाएगा।</p> <p>iv) आधारभूत निधि इतनी होगी कि वह समाशोधन सदस्य (यों) द्वारा असफल होने पर देनदारियों के निपटान हेतु पर्याप्त होगी।</p> <p>v) निधि की मात्रा (कार्पस) की पर्याप्तता की जांच बीच-बीच में तनाव परीक्षण (स्ट्रेस टेस्ट) द्वारा, सेबी के द्वारा निर्दिष्ट विधि से की जाती रहेगी।</p> <p>vi) निधि का अवदान तथा उपयोग सेबी द्वारा निर्दिष्ट विधि के अनुसार किया जाएगा।</p>
20.56	नए खंड का सम्मिलन	<p>लाभ का स्थानांतरण :-</p> <p>एक्सचेंज प्रति वर्ष अपने लाभ का पच्चीस प्रतिशत अपने निपटान गारंटी निधि (SGF) को या समाशोधन निगम (मों) के SGF को क्रेडिट कर देगा जो एक्सचेंज पर हुए व्यापार का समाशोधन व निपटान करता है।</p>
21.11 (g)	नए खंड का सम्मिलन	एक्सचेंज द्वारा आरोपित जुर्माना अथवा ऐसी अन्य निर्दिष्ट निधि को क्रेडिट कर दिया जाएगा।
23	<p><b>एक्सचेंज के निदेशकों और कार्यकर्ताओं हेतु आचार संहिता :-</b></p> <p>एक्सचेंज की एक नैतिकता समिति होगी, जिसकी जवाबदारी होगी कि वह अपने निदेशकों और अन्य कर्मियों के लिये आचार संहिता तय करे और उसे लागू करे, जो ऐसे अन्य लोगों पर भी लागू होगी जो एक्सचेंज से जुड़े हो जैसा कि बोर्ड और/या सेबी समय-समय पर तय करे।</p>	<p><b>एक्सचेंज के निदेशकों और मुख्य कर्मियों हेतु आचार संहिता :-</b></p> <p>एक्सचेंज की एक नैतिकता समिति होगी जिसकी जवाबदारी होगी कि वह अपने निदेशकों व मुख्य कर्मियों के लिये आचार संहिता तय करें और उसे लागू करें जो ऐसे अन्य लोगों पर भी लागू होगी जो एक्सचेंज से जुड़े हो जैसा कि बोर्ड और/या सेबी समय-समय पर तय करें।</p>
23.1	<p><b>उद्देश्य और अंतर्निहित सिद्धांत</b></p> <p>एक्सचेंज के निदेशकों व अन्य कर्मियों के लिये आचार संहिता व्यापार/पेशे को न्यूनतम नैतिकता का स्तर स्थापित करने का प्रयत्न करती है, जिसका अनुपालन ये लोग करें जिससे कि उचित और पारदर्शी बाजार स्थापित किया जा सके। आचार संहिता निम्नांकित मूल सिद्धांतों पर आधारित होगी :-</p> <ul style="list-style-type: none"> <li>* एक्सचेंज और निवेशकों से संबंधित मामलों में ईमानदारी और पारदर्शिता</li> <li>* नियामक एजेन्सी/एक्सचेंज द्वारा बनाए समस्त कानूनों/नियमों/विनियमों का अनुपालन</li> <li>* कर्तव्य के निर्वहन में पर्याप्त उद्यमिता</li> <li>* निदेशकों/अन्य कर्मियों के निजी स्वार्थों और एक्सचेंज</li> </ul>	<p><b>उद्देश्य और अंतर्निहित सिद्धांत</b></p> <p>एक्सचेंज के निदेशकों व महत्वपूर्ण प्रबंधन कार्मिक के लिये आचार संहिता व्यापार/पेशे को न्यूनतम नैतिकता का स्तर स्थापित करने का प्रयत्न करती है, जिसका अनुपालन ये लोग करें जिससे कि उचित और पारदर्शी बाजार स्थापित किया जा सके। आचार संहिता निम्नांकित मूल सिद्धांतों पर आधारित होगी :-</p> <ul style="list-style-type: none"> <li>* एक्सचेंज और निवेशकों से संबंधित मामलों में ईमानदारी और पारदर्शिता</li> <li>* नियामक एजेन्सी/एक्सचेंज द्वारा बनाए समस्त कानूनों/नियमों/विनियमों का अनुपालन</li> <li>* कर्तव्य के निर्वहन में पर्याप्त उद्यमिता</li> <li>* निदेशकों/ महत्वपूर्ण प्रबंधन कार्मिक के निजी स्वार्थों और एक्सचेंज व निवेशकों के हितों में टकराव न होने पाए, ऐसे</li> </ul>

	व निवेशकों के हितों में टकराव न होने पाए, ऐसे उपाय करना ।	उपाय करना ।
23.2 (i)	<b>कर्मि (फंक्शनरीज) :-</b> एक्सचेंज के वे कर्मि जिन पर यह संहिता लागू होगी, का निर्णय एक्सचेंज का निदेशक मंडल करेगा, परन्तु उसमें प्रबंधक स्तर के और उससे ऊपर के सभी अधिकारी समाहित होंगे ।	<b>महत्वपूर्ण प्रबंधन कार्मिक (की मैनेजमेंट पर्सोनेल) :-</b> एक्सचेंज के वे कर्मि जिन पर यह संहिता लागू होगी, का निर्णय एक्सचेंज का निदेशक मंडल करेगा, परन्तु उसमें प्रबंधक स्तर के और उससे ऊपर के सभी अधिकारी समाहित होंगे ।
23.4	<b>सामान्य मानक :-</b> निदेशक एवं कर्मि अधिक जागरूकता तथा नैतिक उत्तरदायित्वों का संवर्धन करने का प्रयास करेंगे । 1) निदेशक और कर्मि (functionaries) अपने कार्य के संचालन में उच्च मानक की व्यावसायिक गरिमा तथा व्यापार के न्यायपूर्ण व समानतायुक्त सिद्धांतों का अनुपालन करेंगे । 2) निदेशकों और फीलिंजीशिज का व्यावसायिक जीवन में आचरण आदर्श होना चाहिये जिससे एक्सचेंज के अन्य सदस्यों को प्रेरणा मिले । 3) निदेशकों और फीलिंजीशिज अपने पद का उपयोग एक्सचेंज के कार्यपालकों या प्रशासकीय स्टाफ, एक्सचेंज के प्रदायकर्ताओं, या एक्सचेंज की किसी सूचीबद्ध कंपनी से अनुचित उपकार प्राप्त करने हेतु नहीं करेंगे । 4) निदेशकों और फीलिंजीशिज कोई ऐसा काम नहीं करेंगे जिससे एक्सचेंज की प्रतिष्ठा पर आंच आये । 5) एक्सचेंज के निदेशक, समिति सदस्य और फीलिंजीशिज प्रतिभूति बाजार पर लागू होने वाले सभी नियमों और विनियमों का पालन करेंगे ।	<b>सामान्य मानक :-</b> 1) निदेशक एवं महत्वपूर्ण प्रबंधन कार्मिक अधिक जागरूकता तथा नैतिक उत्तरदायित्वों का संवर्धन करने का प्रयास करेंगे । 2) निदेशक एवं मुख्य प्रबंधन कर्मि अपने नैतिक उत्तर दायित्वों की अधिक जागरूकता व समझ पैदा करने का प्रयास करेंगे । 3) निदेशक व मुख्य प्रबंधन कर्मि अपने कार्य के संचालन में उच्च मानक की व्यावसायिक गरिमा तथा व्यापार के न्यायपूर्ण व समानतायुक्त सिद्धांतों का अनुपालन करेंगे । 4) निदेशक व मुख्य प्रबंधन कर्मि का अपने पद का उपयोग कार्यपालकों या प्रशासकीय स्टाफ, एक्सचेंज टेक्नोलॉजी या सेवा प्रदायकों, या एक्सचेंज की किसी सूचीबद्ध कंपनी से अनुचित उपकार प्राप्त करने हेतु नहीं करेंगे । 5) निदेशक और मुख्य प्रबंधन कर्मि कोई ऐसा काम नहीं करेंगे जिससे एक्सचेंज की प्रतिष्ठा पर आंच आए । 6) एक्सचेंज के निदेशक, समिति सदस्य और मुख्य प्रबंधन कर्मि प्रतिभूति बाजार पर लागू होने वाले सभी नियमों और विनियमों का पालन करेंगे ।
23.5	<b>एक्सचेंज के निर्वाचित पदाधिकारियों द्वारा स्वामित्व खाते में प्रतिभूतियों के लेन-देन पर प्रतिबंध :-</b> एक्सचेंज के निर्वाचित पदाधिकारी, जब तक वे पद पर हैं, प्रतिभूतियों के स्वामित्व वाले व्यापार में प्रत्यक्ष या परोक्ष रूप से शामिल होने से बचेंगे ।	विलोपित
23.6	<b>एक्सचेंज के functionaries द्वारा प्रतिभूतियों के लेन-देन का प्रकटीकरण :-</b> 1) एक्सचेंज के कार्यकारी (functionaries) निदेशक मंडल/नैतिकता समिति/पदनामित अनुपालन अधिकारी को उस आवृत्ति में जैसी एक्सचेंज का निदेशक मंडल निर्धारित करे, जो मासिक आधार पर हो सकती है, अपने प्रतिभूतियों के समस्त लेन-देन, प्रत्यक्ष या परोक्ष उतनी राशि के लिये जो एक्सचेंज का निदेशक मंडल निर्दिष्ट करे, प्रकट करेंगे । 2) प्रतिभूतियों में लेन-देन, प्रतिभूतियों पर लागू व्यापारिक प्रतिबंधों के अधीन भी होगा, जिसके बारे में एक्सचेंज के कार्यकारियों के पास गैर-जनता संवेदी सूचना हो सकती है । सेबी द्वारा निर्धारित इनसाइडर रेगुलेशन का पालन इस उद्देश्य से किया जाना जरूरी होगा । 3) सभी सौदे निवेश प्रकृति के होने चाहिये, सट्टा प्रकृति के नहीं । इस मकसद से खरीदी गई सभी प्रतिभूतियों को 60 दिवसों तक रोककर रखना होगा, उसके बाद ही वे	<b>एक्सचेंज के मुख्य प्रबंधन कर्मियों द्वारा प्रतिभूतियों के लेन-देन का प्रकटीकरण :-</b> 1) एक्सचेंज के मुख्य प्रबंधन कर्मि, निदेशक मंडल नैतिकता समिति/पदनामित अनुपालन अधिकारी को नैतिकता समिति/पदनामित अनुपालन अधिकारी को उस आवृत्ति में, जैसी एक्सचेंज का निदेशक मंडल निर्धारित करे, जो मासिक आधार पर हो सकती है, अपने प्रतिभूतियों के समस्त लेन-देन, प्रत्यक्ष या परोक्ष उतनी राशि के लिये जो एक्सचेंज का निदेशक मंडल निर्दिष्ट करे, प्रकट करेंगे । 2) प्रतिभूतियों में लेन-देन, प्रतिभूतियों पर लागू व्यापारिक प्रतिबंधों के अधीन कर्मि के पस गैर-जनता संवेदी सूचना हो सकती है । सेबी द्वारा निर्धारित इन साइडर रेगुलेशन का पालन इस उद्देश्य से किया जाना जरूरी होगा । 3) सभी सौदे निवेश प्रकृति के होने चाहिये, सट्टा प्रकृति के नहीं । इस मकसद से खरीदी गई सभी प्रतिभूतियों को 60 दिवसों तक रोक कर रखना होगा, उसके बाद ही वे बेची जा सकेंगी । परन्तु विशेष/असाधारण परिस्थितियों में बिक्री कभी भी, अनुपालन अधिकारी या किसी अन्य पदनामित अधिकारी के अनुमति प्राप्त कर ली जा सकेगी । ऐसे अधिकारी को

	<p>बेची जा सकेगी। परन्तु विशेष/असाधारण परिस्थितियों में बिक्री कभी भी अनुपालन अधिकारी या किसी अन्य पदनामित अधिकारी से अनुमति प्राप्त कर की जा सकेगी। ऐसे अधिकारी को उक्त शर्त को नजरअंदाज करने का, अपने संतोष को लिखित में दर्ज करने के बाद, अधिकार होगा।</p>	<p>उक्त शर्त को नजर अंदाज करने का, अपने संतोष को लिखित में दर्ज करने के बाद, अधिकार होगा।</p>
23.7	<p><b>एक्सचेंज के सदस्य निदेशकों द्वारा प्रतिभूतियों में कारोबार का प्रकटीकरण :-</b></p> <ol style="list-style-type: none"> <li>1) एक्सचेंज के सदस्य निदेशक नियमित अंतराल में, जैसा कि एक्सचेंज का निदेशक मंडल तय करे, जो कि मासिक आधार पर होगा, अपने स्वामित्व के कारोबार; प्रत्यक्ष या परोक्ष, नैतिकता समिति को प्रस्तुत करेंगे।</li> <li>2) सभी निदेशक, नियमित आधार पर उपरोक्तानुसार फर्मों/निगमित निकायों द्वारा संचालित व्यापार का खुलासा जिसमें उनका 20% या अधिक लाभदायक हित है या उनके पास नियंत्रणकारी हित है, नैतिकता समिति को करेंगे।</li> <li>3) जो निदेशक भारत सरकार के नामित हैं या भारत सरकार सांविधिक निकायों अथवा वित्तीय संस्थानों द्वारा नामित हों और अपने स्वयं की संहिता के अधीन हो, पर ये अनिवार्यता लागू नहीं होगी।</li> </ol>	<p><b>एक्सचेंज के सदस्य निदेशकों द्वारा प्रतिभूतियों में कारोबार का प्रकटीकरण :-</b></p> <ol style="list-style-type: none"> <li>1) एक्सचेंज के निदेशकों और उनके परिजनों द्वारा प्रतिभूतियों में किये गए लेन-देन का ब्योरा निदेशक मंडल को दिया जाएगा, जो कि मासिक आधार पर नैतिकता समिति को दिया जाएगा।</li> <li>2) सभी निदेशक, नियमित आधार पर उपरोक्तानुसार फर्मों। निगमित निकायों द्वारा संचालित व्यापार का खुलासा जिसमें उनका 20 प्रतिशत या अधिक लाभदायक हित है, या उनके पास नियंत्रणकारी हित है, नैतिकता समिति को करेंगे।</li> <li>3) जो निदेशक भारत सरकार के नामित हैं या भारत सांविधिक निकायों अथवा वित्तीय संस्थाओं द्वारा नामित हों और अपने स्वयं की संहिता के अधीन हों, पर ये अनिवार्यता लागू नहीं होगी।</li> </ol>
23.9	<p><b>लाभदायक हितों का प्रकटीकरण :-</b></p> <p>सभी निदेशक व functionaries, एक्सचेंज के निदेशक मंडल को, पदभार ग्रहण करने पर तथा पद पर जब तक रहें निम्नांकित की सूचना स्थिति के उत्पन्न होने पर देंगे -</p> <ol style="list-style-type: none"> <li>1) स्वयं और परिवार के सदस्यों के तथा निदेशक/भागीदारी स्वयं की तथा परिवार के सदस्यों के किसी भी दलाली के कार्य में प्रत्ययी संबंध</li> <li>2) शोयरधारिता, उन मामलों में जहाँ निदेशक की शोयरधारिता प्रत्यक्ष या उसके परिवार के जरिये किसी ISE की सूचीबद्ध कंपनी में या पूंजी बाजार से संबंधित अन्य निकायों में 5% से अधिक हो।</li> <li>3) कोई अन्य व्यावसायिक हित।</li> </ol>	<p><b>लाभदायक हितों का प्रकटीकरण :-</b></p> <p>सभी निदेशक व मुख्य प्रबंधन कमी, एक्सचेंज के निदेशक मंडल को, पदभार ग्रहण करने पर तथा पद पर जब तक रहें, निम्नांकित की सूचना स्थिति के उत्पन्न होने पर देंगे।</p> <ol style="list-style-type: none"> <li>1) स्वयं और परिवार के सदस्यों के तथा निदेशक/भागीदारी स्वयं की तथा परिवार के सदस्यों के किसी भी दलाली के कार्य में प्रत्ययी संबंध।</li> <li>2) शोयरधारिता, उन मामलों में जहाँ निदेशक की शोयरधारिता प्रत्यक्ष या उसके परिवार के जरिये किसी आई.एस.ई की सूचीबद्ध कंपनी में या पूंजी बाजार से संबंधित अन्य निकायों में 5 प्रतिशत से अधिक हो।</li> <li>3) कोई अन्य व्यावसायिक हित।</li> </ol>
23.10	<p><b>एक्सचेंज के रोजमर्रा के कार्यों में सदस्य निदेशकों की भूमिका:-</b></p> <ol style="list-style-type: none"> <li>1) एक्सचेंज के सदस्य निदेशक, एक्सचेंज के रोजमर्रा के कार्यों में हस्तक्षेप नहीं करेंगे और अपनी भूमिका को नीति संबंधी मामलों तक व अन्य ऐसे मामलों तक सीमित रखेंगे जैसा एक्सचेंज तय करे।</li> <li>2) सदस्य निदेशक एक्सचेंज के कर्मचारियों को उनके रोजमर्रा के कार्यों को करने में प्रभावित नहीं करेंगे।</li> <li>3) एक्सचेंज के सदस्य निदेशक प्रत्यक्ष रूप से कर्मचारियों के कार्यों और प्रोन्नतियों में भाग नहीं लेंगे जब तक कि निदेशक मंडल विशेष रूप से ऐसा फैसला न ले।</li> </ol>	<p><b>एक्सचेंज के रोजमर्रा के कार्यों में चेयर पर्सन और निदेशकों की भूमिका :-</b></p> <ol style="list-style-type: none"> <li>1) एक्सचेंज के चेयर पर्सन व निदेशक, एक्सचेंज के रोजमर्रा के कार्यों में हस्तक्षेप नहीं करेंगे और अपनी भूमिका को नीति संबंधी मामलों तक व अन्य ऐसे मामलों तक सीमित रखेंगे, जैसा एक्सचेंज तय करें।</li> <li>2) चेयर पर्सन व निदेशक, एक्सचेंज के कर्मचारियों को उनके रोजमर्रा के कार्यों को करने में प्रभावित नहीं करेंगे।</li> <li>3) एक्सचेंज के चेयर पर्सन व निदेशक प्रत्यक्ष रूप से कर्मचारियों के कार्यों और प्रोन्नतियों में भाग नहीं लेंगे जब तक कि निदेशक मंडल विशेष रूप से ऐसा फैसला न ले।</li> </ol>
23.11 (iv)	<p>एक्सचेंज के कार्यों/व्यापार से संबंधित कोई जानकारी जो निदेशकों/functionaries की जानकारी में उनके कर्तव्य निर्वहन के दौरान आ जाये तो उसे अति गोपनीय रखा जायेगा, उसे किसी अन्य को या तृतीय पक्ष को बतलाया नहीं</p>	<p>एक्सचेंज के व्यापार/क्रिया से संबंधित कोई भी सूचना, जो निदेशकों/मुख्य प्रबंधन समितियों की जानकारी में उनके कर्तव्य निर्वहन के दौरान आए को वे पूर्णतः गुप्त रखेंगे, किसी तृतीय पक्ष को नहीं बतलाएंगे, और उसका उपयोग, अपने कार्यों के निर्वाह के अतिरिक्त अन्य किसी प्रकार से नहीं करेंगे।</p>

	जायेगा तथा उसका उपयोग, कर्तव्य के निर्वह के अतिरिक्त अन्य किसी भी प्रकार से नहीं किया जायेगा।	
23.14	नए खंड का सम्मिलन	अनुपालन अधिकारी की नियुक्ति :- i) प्रत्येक मान्यता प्राप्त स्टाक एक्सचेंज और मान्यता प्राप्त समाशोधन ग्रह, अनुपालन अधिकारी नियुक्त करेगा, जिसकी जिम्मेदारी, अधिनियम, कंपनी अधिनियम 1956, सिक्युरिटीज एंड एक्सचेंज बोर्ड ऑफ इंडिया, एक्ट 1992, या उसके अन्तर्गत जारी किये गए निर्देशों का पालन हो रहा है या नहीं, इस पर दृष्टि रखना तथा निवेशकों की शिकायतों को दूर करना होगी। ii) अनुपालन अधिकारी उपरोक्त पैरा 23.14 (i) के किसी भी प्रावधान की अवहेलना का पता लगने पर तत्काल व स्वतंत्र रूप से सेबी को सूचित
23.15	नए खंड का सम्मिलन	इस संहिता का उद्देश्य यद्यपि बाजार में ईमानदारी तथा निवेशक के विश्वास के स्तर को उठाना है, किंतु इस तथ्य पर जोर दिया जाता है कि लिखित आचार संहिता से उच्च नैतिक मानकों के पालन की गारंटी नहीं दी जा सकती है। यह तभी हो सकता है जब मान्य स्टाक एक्सचेंज या मान्य समाशोधन निगम के निदेशक/मुख्य प्रबंधन कर्मी, व्यवस्था में निष्ठा और न्यायोचितता को प्राणपण से लागू करने के प्रति दृढ़प्रतिज्ञा हों।
25.6	नए खंड का सम्मिलन	एक्सचेंज, सेबी के पूर्व अनुमोदन से, करारों के नियमन, समाशोधन और निपटान हेतु, जैसा भी प्रकरण हो, उपविधियों, सिक्युरिटीज कांटेक्ट्स (रेगुलेशन) एक्ट 1956, की धारा 9 के अनुसार एवं सेबी द्वारा उसमें समय समय पर किये गए संशोधनों के अनुसार बनाएगा।
25.7	नए खंड का सम्मिलन	किसी भी संस्था का ज्ञापन पत्र व नियमावली, व संस्था के अंतर्नियम अथवा अन्य कोई सांविधिक सस्तावेज, जहां तक उनका संबंध सिक्युरिटीज कांटेक्ट्स (रेगुलेशन) एक्ट 1956 की धारा 3 या सेबी द्वारा उसमें समय-समय पर किये गए संशोधन बिना सेबी के पूर्व अनुमोदन में नहीं किया जा सकेगा।

उपरोक्त संशोधनों के संबंध में अभिरुचि रखने वाला कोई भी व्यक्ति प्रभाव प्रस्तुतियों को निम्न पते पर इस विज्ञापन के प्रकाशन की तारीख से एक माह की अवधि के भीतर कर सकते हैं :-

कंपनी सचिव एवं अनुपालन अधिकारी  
इंटर-कनेक्टेड स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड  
इंटरनेशनल इनफोटेक पार्क टॉवर-7  
5 तल, सेक्टर 30A, वाशी  
नवी मुंबई-400703

स्थान : वाशी, नवी मुंबई  
दिनांक : 5 सितम्बर 2012

पी. जे. मैथ्यू  
प्रबंध निदेशक

#### ACE DERIVATIVES AND COMMODITY EX CHANGE LIMITED

New Delhi

The approval of the Deputy Director, Forward Markets Commission, under Section 12(1) of the Forward Contracts (Regulation) Act, 1952 (74 of 1952) read with the Government of India, Ministry of Commerce and Industry Notification No. S. O. 11162 dated 4th May, 1960 has been obtained on the 24th July, 2012, to the following amendments, made to Bye-laws of the Ace Derivatives & Commodity Exchange Ltd., Ahmedabad and same is hereby notified under Sub-Section 2 of Section 12 of the said Act.

"14.12.1 All claims, differences or disputes referred to in the Bye-Laws above shall be submitted to arbitration within three years from the date of last transaction or delivery or payment effected between the member and his client or between two members of the Exchange, provided where the claim/complaint is not settled/resolved through the process of conciliation by the Exchange within three months of the receipts of the claim/complaint, the Exchange shall in such cases advise the concerned client to refer the case to arbitration. The time taken in dispute resolution and/or conciliation proceedings, if any, initiated and conducted in accordance with the provision of the Arbitration and conciliation Act and these Bye-Laws and the time taken by the Relevant Authority to administratively resolve the claims, differences or disputes shall be excluded for the purpose of determining the limitation period of three years under the Bye-Laws, Rules and Business Rules of the Exchange. any claim made or any difference/dispute raised by any complainant/aggrieved person, after expiry of the time/limit specified herein, shall become time-barred for the purpose of availing of the remedy under the Bye-Laws, Rules and Business rules of the Exchange and may not, however, be invalid for seeking remedy under appropriate civil laws."

Place : New Delhi

Date 05.09.2012

Chief Executive Officer

## INTER-CONNECTED STOCK EXCHANGE OF INDIA LTD.

## NEW MUMBAI

**Amendment and Insertion of new clauses in the Bye-laws of the Exchange  
in accordance with the Securities Contracts (Regulation) (Stock Exchanges and Clearing  
Corporations) Regulations, 2012 dated 20.06.2012**

Whereas the Bye-laws before amendment and the Bye-laws after amendment in accordance with the aforesaid Regulation, 2012 are tabled as under:

Bye-law	Bye-laws before Amendment	Bye-laws after Amendment
1.1	"Board", "Board of Directors" or "the Directors" mean the Board of Directors of the Inter-connected Stock Exchange of India Limited (ISE).	"Board", " <b>Governing Board</b> ", "Board of Directors" or "the Directors" mean the Board of Directors of the Inter-connected Stock Exchange of India Limited (ISE). <b>All the four terms shall be used interchangeably.</b>
1.1A	<b>Newly inserted</b>	"Clearing Corporation" means an entity that is established to undertake the activity of clearing and settlement of trades in securities or other instruments or products that are dealt with or traded on a recognized stock exchange and include a clearing house.
1.1B	<b>Newly inserted</b>	"Clearing Member" means a person having clearing and settlement rights in any recognised clearing corporation:  Provided that any person who, on the date of commencement of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 dated 20.06.2012, is acting as clearing member of a clearing house or a clearing corporation shall be deemed to be clearing member, till his request for registration, if any, is refused by Securities and Exchange Board of India or till cessation of his membership with clearing corporation, whichever is earlier.
1.1C	<b>Newly inserted</b>	"Client" or "Constituent" means a person on whose instruction and on whose account the Trading Member enters into a contract for the purchase or sale of any tradeable security or does any act in relation thereto.
1.1D	<b>Newly inserted</b>	"Company" shall mean a company as defined in section 3 of the Companies Act, 1956, hereinafter referred to as Inter-connected Stock Exchange of India Ltd. (ISE).
1.3	"Exchange" means the Stock Exchange(s) operated by ISE.	"Exchange" means "Inter-connected Stock Exchange of India Limited", also referred to as "ISE" which is for the time being recognized as a Stock Exchange by the Securities and Exchange Board of India under Section 4 of the Securities Contracts (Regulation) Act, 1956. All the said terms shall be used interchangeably.
1.5A	<b>Newly inserted</b>	"Key Management Personnel" means a person serving as head of any department or in such senior executive position that stands higher in hierarchy to the head(s) of department(s) in the exchange or in any other position as declared so by the exchange.



1.6	"Market Maker" means a trading member of ISE	"Market Maker" means a Trading Member registered as such with the ISE to perform market-making operations in the specified security or securities. [Explanation: There may be more than one class of Market Makers registered with the Company as may be determined by the Board from time to time].
1.16	"Trading Member" means a Stock broker and the member of ISE registered in accordance with Chapter 6 of the Bye-Laws.	"Trading Member" means a person having trading rights in the ISE and includes a stock broker.
10.7.7	determine the types of trades permitted for a <i>member</i> and a security.	determine the types of trades permitted for a <i>trading member</i> and a security.
12.21	<b>Newly inserted</b>	<b>Risk Management Committee</b> <ol style="list-style-type: none"> <li>1. The Exchange shall constitute a Risk Management Committee, comprising its public interest directors and independent external experts, who shall report to the Governing Board.</li> <li>2. The Risk Management Committee shall formulate a detailed Risk Management policy which shall be approved by the Governing Board.</li> <li>3. The head of the Risk Management department shall be responsible for implementation of the risk management policy and he shall report to the Risk Management Committee and to the Managing Director of the Exchange.</li> <li>4. The Risk Management Committee shall monitor implementation of the risk management policy and keep SEBI and the Governing Board informed about its implementation and deviation, if any.</li> </ol>
16.4.2	Irrespective of any arrangement for the sharing of brokerage with any person, the trading member shall be directly and wholly liable to every other <i>member</i> with whom such trading member effects any deal on exchange.	Irrespective of any arrangement for the sharing of brokerage with any person, the trading member shall be directly and wholly liable to every other trading member with whom such <i>trading member</i> effects any deal on exchange.
17.17.1.3	The Trading Member shall make payment to their clients or deliver the securities purchased within <i>two</i> working days of pay-out unless the client has requested otherwise.	The Trading Member shall make payment to their clients or deliver the securities purchased within <i>one</i> working day of pay-out unless the client has requested otherwise.
18.14 A	<b>Newly inserted</b>	<b>Appellate Mechanism:</b> <ol style="list-style-type: none"> <li>1. <b>Time Limit:</b> Appeal against the Award of the arbitrators is to be filed within 30 days from the date of receipt of the award. However if a party is unable to file an appeal within the specified period the appeal should be accompanied by an application supported by affidavit setting forth the facts on which the appellant relies to satisfy the Appeal Bench in respect of causes for the delay. The Appeal Bench may, on sufficient cause being shown for the delay, condone the delay.</li> </ol>

		<p><b>2. Deposit:</b> A party appealing against an award is required to deposit with the Exchange, the amount or securities (or value thereof) due under the Award, if the award has been passed against him. This amount is deposited with an understanding that the said amount shall be released to the party in whose favor the Appeal is decided, if the same is not challenged in the Court under the provisions of The Arbitration and Conciliation Act.</p> <p><b>3. Appeal Memo:</b> A party desiring to prefer an appeal against an award of the Arbitrator(s) is required to submit seven sets of Memorandum of Appeal.</p> <p><b>4. Appeal Bench:</b> The Appeal filed by a party against an award will be heard and decided by an Appeal Bench of three Arbitrators, appointed by the Managing Director and Chief Executive Officer of ISE. The appellate panel shall consist of three arbitrators who shall be different from the ones who passed the arbitral award appealed against. The Exchange shall ensure that the process of appointment of appellate panel of arbitrators is completed within 30 days from the date of receipt of application for appellate arbitration.</p> <p>The Appeal Bench decides the appeal expeditiously as far as possible within three month of the filing of the Appeal. The Managing Director/ Executive Director of the Exchange may for sufficient cause extend the time for issue of appellate arbitral award by not more than two months on a case to case basis after recording the reasons for the same.</p> <p>A party aggrieved by the appellate arbitral award may file an application to the Court of competent jurisdiction in accordance with Section 34 of the Arbitration and Conciliation Act, 1996.</p>
18.14 B	<i>Newly inserted</i>	<p><b>Implementation of Arbitral Award in favour of Clients</b> In case the arbitral / appellate arbitral award is in favour of the client, the Exchange shall, on receipt of the same, debit the amount of the award from the security deposit or any other monies of the member (against whom an award has been passed) and keep it in a separate escrow account.</p> <p>The Exchange shall implement the arbitral award, by making payment to the client, along with interest earned on the amount that has been set aside, as soon as the time for preferring an appeal before the appellate panel of arbitrators has expired and no appeal has been preferred</p>

		<p>The Exchange shall implement the appellate arbitral award, by making payment to the client, along with interest earned on the amount that has been set aside, as soon as:</p> <ol style="list-style-type: none"> <li>the time for making an application to a Court to set aside such appellate arbitral award under Section 34 of the Arbitration and Conciliation Act, 1996 has expired, and no application has been made, or</li> <li>when an application to a Court to set aside such appellate arbitral award under Section 34 of the Arbitration and Conciliation Act, 1996, having been made, it has been refused by such Court, or</li> <li>an application to a Court to set aside such appellate arbitral award under Section 34 of the Arbitration and Conciliation Act, 1996, having been made, but where no stay has been granted by such Court within a period of three months from the date on which the party making that application had received the appellate arbitral award.</li> </ol>
18.14.1	<p>Notwithstanding anything contained in the Bye-laws, in cases where the arbitration award is passed against the Trading Member and/or its sub-brokers and in favour of a Constituent, the Exchange may debit from the deposits or other moneys of the Trading Member lying with the Exchange, the amount of award payable to the awardee together with interest payable, if any, till the date of debit after setting off the counter claim of the Trading Member and /or its sub-brokers allowed under the award, if any, and keep aside the said amount in a separate account to be dealt with in such manner as mentioned in Bye-law 18.14.2 below.</p> <p>Provided however, where the award is for the delivery of securities, the Exchange may consider the closing price of such securities on the Exchange as on the date of the award or such other date the relevant authority may specify to be reasonable, stating reasons for arriving at the value of such securities and award amount.</p>	<i>Deleted.</i>
18.14.2	<p>The Exchange may make the payment of said amount so kept aside in a separate account, to the awardee only after a confirmation was obtained from the member to the effect that no appeal has been filed by him and whereas if the award is set aside partially or fully,</p>	<i>Deleted.</i>

	then the Exchange may reverse the debit to that extent and restore the same to the credit of the Trading Member and make the payment of the reduced award amount to the awardee.	
18.19.6	The time taken by the Investors Services Committee of the Stock Exchange (the time taken from the date of receipt of dispute till the decision by the committee) to resolve the dispute under its Rules/Byelaws/ Regulations, and the time taken by the <b>member</b> to attempt the resolution of the dispute (the time from the date of receipt of dispute by the <b>member</b> to the date of receipt of the <b>member's</b> last communication by the client) or one month from the date of receipt of the dispute by the <b>member</b> , whichever is earlier, shall be excluded from computation of the duration of six months from the end of the quarter during which the disputed transaction(s) were executed/ settled, whichever is relevant for the dispute.	The time taken by the Investors Services Committee of the Stock Exchange (the time taken from the date of receipt of dispute till the decision by the committee) to resolve the dispute under its Rules/Byelaws/ Regulations, and the time taken by the <b>trading member</b> to attempt the resolution of the dispute (the time from the date of receipt of dispute by the <b>trading member</b> to the date of receipt of the <b>trading member's</b> last communication by the client) or one month from the date of receipt of the dispute by the <b>trading member</b> , whichever is earlier, shall be excluded from computation of the duration of six months from the end of the quarter during which the disputed transaction(s) were executed/ settled, whichever is relevant for the dispute.
19.1 (i)	Notwithstanding anything contained in this Bye-law, the trading facility of the <b>member</b> shall be withdrawn immediately after the receipt of information of expulsion/default by any other stock exchange or cancellation of registration certificate by SEBI.	Notwithstanding anything contained in this Bye-law, the trading facility of the <b>trading member</b> shall be withdrawn immediately after the receipt of information of expulsion/default by any other stock exchange or cancellation of registration certificate by SEBI.
19.18	<b>Misleading Statement</b> The relevant authority may fine, suspend or expel a <b>member</b> if it is satisfied that any comparison statement or certificate relating to a defaulter sent by such trading member was false or misleading.	<b>Misleading Statement</b> The relevant authority may fine, suspend or expel a <b>trading member</b> if it is satisfied that any comparison statement or certificate relating to a defaulter sent by such trading member was false or misleading.
19.29.2	The Board shall constitute every year a Defaults Committee consisting of five persons, <b>out of which not more than one person may be a Trading Member</b> and the remaining members shall be from the public who shall be persons of eminence from the legal, judicial and accountancy fields, who shall not be stock brokers of any stock exchange. Further, the Managing Director would be an ex-officio member of this Committee.	The Board shall constitute every year a Defaults Committee consisting of five persons and the members shall be from the public who shall be persons of eminence from the legal, judicial and accountancy fields, who shall not be stock brokers of any stock exchange. Further, the Managing Director would be an ex-officio member of this Committee.
20.1	ISE will maintain a Settlement Guarantee Fund (SGF) for the purpose	ISE will maintain a Settlement Guarantee Fund (SGF) for the purpose of extending trade guarantee <b>in each</b>

	<p>of extending trade guarantee for all settlement liabilities. The purpose of the SGF will be to ensure that the counter - party risk in an executed trade arising out of eligible transactions is guaranteed by the SGF. Thus, failure of a Trading Member will not cause its counter -party to sustain any loss in the settlement, provided the transaction has been validly executed in the system subject to the Rules, Bye-laws and Regulations of ISE. The sources of SGF, charges disbursement and other regulations will be decided by the relevant authority from time to time and shall be binding on the Trading Member, clients, etc. The SGF shall not cover defaults arising from illegal trading, unauthorised trading, bad delivery, circular trading, negotiated deals, crossed deals, insider trading and shall be subject to such terms and conditions imposed by the relevant authority from time to time.</p>	<p><b>segment</b> for all settlement liabilities. The purpose of the SGF will be to ensure that the counter-party risk in an executed trade arising out of eligible transactions is guaranteed by the SGF. Thus, failure of a Trading Member will not cause its counter -party to sustain any loss in the settlement, provided the transaction has been validly executed in the system subject to the Rules, Bye-laws and Regulations of ISE. The sources of SGF, charges disbursement and other regulations will be decided by the relevant authority from time to time and shall be binding on the Trading Member, clients, etc. The SGF shall not cover defaults arising from illegal trading, unauthorised trading, bad delivery, circular trading, negotiated deals, crossed deals, insider trading and shall be subject to such terms and conditions imposed by the relevant authority from time to time.</p>
20.55	<b>Newly inserted</b>	<p><b>Other Obligations:</b></p> <ul style="list-style-type: none"> <li>(i) The Settlement Guarantee Fund of Exchange shall be transferred to the clearing corporation to which the clearing and settlement functions of the Exchange are transferred.</li> <li>(ii) Till such time the Fund is transferred under clause 20.55(i), it shall be utilized only for the purposes of meeting settlement obligations of the Trading Member/Clearing Member of ISE for the trades executed on the trading platform of ISE or as specified by SEBI and as per the Bye-laws of the Exchange.</li> <li>(iii) In the event of a clearing member failing to honour his settlement obligations, the Fund shall be utilized to complete the settlement.</li> <li>(iv) The corpus of the Fund shall be adequate to meet the settlement obligations arising on account of failure of clearing member(s).</li> <li>(v) The sufficiency of the corpus of the Fund shall be tested by way of periodic stress tests, in the manner specified by SEBI.</li> <li>(vi) The contribution and utilization of the Fund shall be in accordance with the norms specified by the SEBI.</li> </ul>
20.56	<b>Newly inserted</b>	<p><b>Transfer of Profits</b></p> <p>The Exchange shall credit twenty five per cent of its profits every year to its Settlement Guarantee Fund (SGF) or SGF of the clearing corporation(s) which clears and settles trades executed on the Exchange.</p>

21.11 (g)	<b>Newly inserted</b>	Penalties levied by the Exchange shall be credited to IPF or such other specified fund, as the case may be.
23	<b>CODE OF ETHICS FOR DIRECTORS AND FUNCTIONARIES OF THE EXCHANGE</b> The Exchange shall have an Ethics Committee which will be responsible for deciding and implementing code of Ethics for its Directors and <b>Functionaries</b> of the Exchange and such other persons associated with the Exchange as may be decided by the Board and /or SEBI from time to time.	<b>CODE OF ETHICS FOR DIRECTORS AND KEY MANAGEMENT PERSONNEL OF THE EXCHANGE</b> The Exchange shall have an Ethics Committee which will be responsible for deciding and implementing code of Ethics for its Directors and <b>Key Management Personnel</b> of the Exchange and such other persons associated with the Exchange as may be decided by the Board and / or SEBI from time to time.
23.1	<b>Objectives and Underlying principles:</b> The code of ethics for Directors and <b>Functionaries</b> of the Exchange seeks to establish a minimum level of business/professional ethics to be followed by these directors and <b>functionaries</b> , towards establishing a fair and transparent marketplace. The code of ethics is based on the following fundamental principles: <ol style="list-style-type: none"> <li>Fairness and transparency in dealing with matters relating to the Exchange and the investors</li> <li>Compliance with all laws / rules / regulations laid down by regulatory agencies / Exchange</li> <li>Exercising due diligence in the performance of duties</li> <li>Avoidance of conflict of interest between self interests of directors / <b>functionaries</b> and interests of Exchange and investors</li> </ol>	<b>Objectives and Underlying principles:</b> The code of ethics for Directors and <b>Key Management Personnel</b> of the Exchange seeks to establish a minimum level of business/professional ethics to be followed by these directors and <b>Key Management Personnel</b> , towards establishing a fair and transparent marketplace. The code of ethics is based on the following fundamental principles: <ol style="list-style-type: none"> <li>Fairness and transparency in dealing with matters relating to the Exchange and the investors</li> <li>Compliance with all laws / rules / regulations laid down by regulatory agencies / Exchange</li> <li>Exercising due diligence in the performance of duties</li> <li>Avoidance of conflict of interest between self interests of directors / <b>Key Management Personnel</b> and interests of Exchange and investors.</li> </ol>
23.2 (i)	<b>Functionaries:</b> <b>Functionaries</b> of the Exchange to whom this Code shall be applicable shall be decided by the Board of Directors of the Exchange but shall include all officials of the rank of Manager and above.	<b>Key Management Personnel:</b> <b>Key Management Personnel</b> of the Exchange to whom this Code shall be applicable shall be decided by the Board of Directors of the Exchange but shall include all officials of the rank of Manager and above.
23.4	<b>General Standards</b> Directors and <b>Functionaries</b> shall endeavour to promote greater awareness and understanding of ethical responsibilities: <ol style="list-style-type: none"> <li>Directors and <b>Functionaries</b>, in the conduct of their business shall observe high standards of commercial honour and just and equitable principles of trade</li> <li>The conduct of Directors and <b>Functionaries</b> in business life</li> </ol>	<b>General Standards</b> <ol style="list-style-type: none"> <li>Directors and <b>Key Management Personnel</b> shall endeavour to promote greater awareness and understanding of ethical responsibilities</li> <li>Directors and <b>Key Management Personnel</b> in the conduct of their business shall observe high standards of commercial honour and just and equitable principles of trade</li> <li>The conduct of Directors and <b>Key Management Personnel</b> in business life should be exemplary which will set a standard for other members of the Exchange to follow</li> </ol>

	<p>should be exemplary which will set a standard for other members of the Exchange to follow</p> <p>iii. Directors and <b>Functionaries</b> shall not use their position to <b>do or</b> get favours from the executive or administrative staff of the Exchange, <b>suppliers</b> of the Exchange or any listed company at the Exchange</p> <p>iv. Directors and <b>Functionaries</b> shall not commit any act which will put the reputation of the Exchange in jeopardy</p> <p>v. Directors, Committee members and <b>Functionaries</b> of the Exchange should comply with all rules and regulations applicable to the securities market.</p>	<p>iv. Directors and <b>Key Management Personnel</b> shall not use their position to <b>give/get</b> favours <b>to/from</b> the executive or administrative staff of <del>the</del> Exchange, <b>technology or service providers and vendors</b> of the Exchange or any listed company at the Exchange</p> <p>v. Directors and <b>Key Management Personnel</b> shall not commit any act which will put the reputation of the Exchange in jeopardy</p> <p>vi. Directors, Committee members and <b>Key Management Personnel</b> of the Exchange should comply with all rules and regulations applicable to the securities market.</p>
23.5	<p><b>Prohibition on dealings in Securities in proprietary account by elected office bearers of the exchange</b></p> <p>Elected office bearers of the exchange shall refrain from proprietary trades in securities, directly or indirectly, during the period of holding office.</p>	<i>Deleted</i>
23.6	<p><b>Disclosure of dealings in securities by Functionaries of the Exchange</b></p> <p>i. <b>Functionaries</b> of the Exchange shall disclose to the Board of Directors/ Ethics Committee/ designated Compliance Officer at the frequency as determined by the Board of Directors of the Exchange, which shall be on a monthly basis, all their dealings, direct or indirect, in securities for such amounts as may be specified by the Board of the Exchange.</p> <p>ii. The dealings in securities shall also be subject to trading restrictions for securities about which <b>Functionaries</b> in the Exchange may have non-public price sensitive information. Requirement laid down under SEBI Insider Regulations would have to be complied with for this purpose.</p> <p>iii. All transactions must be of an investment nature and not speculative in nature. Towards this end, all securities purchased must be held for a minimum period of 60 days before they are sold. However, in specific/exceptional</p>	<p><b>Disclosure of dealings in securities by Key Management Personnel of the Exchange</b></p> <p>i. <b>Key Management Personnel</b> of the Exchange shall disclose to the Board of Directors / Ethics Committee/ designated Compliance Officer at the frequency as determined by the Board of Directors of the Exchange, which shall be on a monthly basis, all their dealings, direct or indirect, in securities for such amounts as may be specified by the Board of the Exchange.</p> <p>ii. The dealings in securities shall also be subject to trading restrictions for securities about which <b>Key Management Personnel</b> in the Exchange may have non-public price sensitive information. Requirement laid down under SEBI Insider Regulations would have to be complied with for this purpose.</p> <p>iii. All transactions must be of an investment nature and not speculative in nature. Towards this end, all securities purchased must be held for a minimum period of 60 days before they are sold. However, in specific/exceptional circumstances, sale can be effected anytime by obtaining prior clearance from the Compliance Officer or any other designated authority who will be empowered to waive this condition after recording in writing his satisfaction in this regard.</p>

	<p>circumstances, sale can be effected anytime by obtaining prior clearance from the Compliance Officer or any other designated authority who will be empowered to waive this condition after recording in writing his satisfaction in this regard.</p>	
23.7	<p><b>Disclosure of dealings in securities by the Member Directors of the Exchange</b></p> <ol style="list-style-type: none"> <li><i>Member Directors of the Exchange shall disclose on a periodic basis, as determined by the Board of Directors of the Exchange, which shall be on a monthly basis, their proprietary trading, directly or indirectly, to the Ethics Committee.</i></li> <li>All Directors shall also disclose on a periodic basis as fixed above, the trading conducted by firms/corporate entities in which they hold 20% or more beneficial interest or hold a controlling interest, to the Ethics Committee.</li> <li>Directors who are Government of India nominees or nominees of Government of India Statutory Bodies or Financial Institutions and are governed by their own Codes shall be exempt from this requirement.</li> </ol>	<p><b>Disclosure of dealings in securities by Directors of the Exchange</b></p> <ol style="list-style-type: none"> <li><i>All transactions in securities by the directors and their family shall be disclosed to the Governing Board of the Exchange, which shall be on a monthly basis, to the Ethics Committee</i></li> <li>All Directors shall also disclose on a periodic basis as fixed above, the trading conducted by firms/corporate entities in which they hold 20% or more beneficial interest or hold a controlling interest, to the Ethics Committee.</li> <li>Directors who are Government of India nominees or nominees of Government of India Statutory Bodies or Financial Institutions and are governed by their own Codes shall be exempt from this requirement.</li> </ol>
23.9	<p><b>Disclosures of beneficial interest</b></p> <p>All Directors and <b>Functionaries</b> shall disclose to the Board of Directors of the Exchange, upon assuming office and during their tenure in office, whenever the following arises:</p> <ol style="list-style-type: none"> <li>any fiduciary relationship of self and family members and directorship/ partnership of self and family members in any broking outfit</li> <li>shareholding, in cases where the shareholding of the director, directly or through his family, exceeds 5% in any listed company <b>on the Exchange</b> or in other entities related to the <b>capital</b> markets</li> <li>any other business interests.</li> </ol>	<p><b>Disclosures of beneficial interest</b></p> <p>All Directors and <b>Key Management Personnel</b> shall disclose to the Board of Directors of the Exchange, upon assuming office and during their tenure in office, whenever the following arises:</p> <ol style="list-style-type: none"> <li>any fiduciary relationship of self and family members and directorship/partnership of self and family members in any broking outfit</li> <li>shareholding, in cases where the shareholding of the director, directly or through his family, exceeds 5% in any listed company or in other entities related to the <b>securities</b> markets</li> <li>any other business interests.</li> </ol>
23.10	<p><b>Role of the Member Directors in the day-to-day functioning of the Exchange</b></p>	<p><b>Role of the Chairperson and Directors in the day-to-day functioning of the Exchange</b></p> <ol style="list-style-type: none"> <li>The <b>Chairperson and</b> Directors of the Exchange</li> </ol>



	<p>i. The <b>Member</b> Directors of the Exchange shall not interfere in the day-to-day functioning of the Exchange and shall limit their role to decision making on policy issues and to issues as the Board of Directors of the Exchange may decide.</p> <p>ii. The <b>Member</b> Directors shall abstain from influencing the employees of the Exchange in conducting their day-to-day activities.</p> <p>iii. The <b>Member</b> Directors of the Exchange shall not be directly involved in the function of appointment and promotion of employees, unless specifically so decided by the Board of Directors.</p>	<p>shall not interfere in the day-to-day functioning of the Exchange and shall limit their role to decision making on policy issues and to issues as the Board of Directors of the Exchange may decide.</p> <p>ii. The <b>Chairperson and</b> Directors shall abstain from influencing the employees of the Exchange in conducting their day-to-day activities.</p> <p>iii. The <b>Chairperson and</b> Directors of the Exchange shall not be directly involved in the function of appointment and promotion of employees, unless specifically so decided by the Board of Directors.</p>
23.11 (iv)	Any information relating to the business /operations of the Exchange, which may come to the knowledge of Directors / <b>Functionaries</b> during performance of their duties shall be held in strict confidence, shall not be divulged to any to any third party and shall not be used in n manner except for the performance of their duties.	Any information relating to the business /operations of the Exchange, which may come to the knowledge of Directors / <b>Key Management Personnel</b> during performance of their duties shall be held in strict confidence, shall not be divulged to any to any third party and shall not be used in n manner except for the performance of their duties.
23.14	<b>Newly inserted</b>	<p><b>Appointment of Compliance Officer:</b></p> <p>1. Every recognised stock exchange and recognised clearing corporation shall appoint a compliance officer who shall be responsible for monitoring the compliance of the Act, the Companies Act, 1956, the Securities and Exchange Board of India Act, 1992, Rules, Regulations, or Directions issued thereunder and for the redressal of investors' grievances.</p> <p>2. The compliance officer shall, immediately and independently, report to SEBI any non-compliance of any provision stated in above clause 23.14 (1) observed by him.</p>
23.15	<b>Newly inserted</b>	While the objective of this Code is to enhance the level of market integrity and investor confidence, it is emphasized that a written code of ethics may not completely guarantee adherence to high ethical standards. This can be accomplished only if directors and key management personnel of the recognised stock exchange or recognised clearing corporation commit themselves to the task of enhancing the fairness and integrity of the system in letter and spirit.
25.6	<b>Newly inserted</b>	The Exchange shall, with the previous approval of the SEBI, make bye-laws for the regulation of contracts and clearing and settlement, as the case may be, as per section 9 of the Securities Contracts (Regulation) Act, 1956 and as amended by the SEBI from time to time.

25.7	<b>Newly inserted</b>	No memorandum of association, articles of association or any other constitution document, in so far as they relate to matters specified in section 3 of the Securities Contracts (Regulation) Act, 1956 or as amended by the SEBI from time to time and bye-laws of the Exchange, shall be amended except with prior approval of the SEBI.
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Any person interested in making any comments, in respect of the above amendments may please make presentations to the effect within a period of one month from the date of publication of this advertisement at the following address:-

Company Secretary & Compliance Officer  
Inter-connected Stock Exchange of India Limited  
International Infotech Park, Tower-7,  
5th Floor, Sector 30A, Vashi,  
Navi Mumbai – 400703.

**Place:** Vashi, Navi Mumbai

**Date:** September 5, 2012

**P. J. MATHÉW**  
Managing Director

प्रबन्धक, भारत सरकार मुद्रणालय, फरीदाबाद द्वारा मुद्रित  
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